



© 2026 Michael K. DeLuke, DDS, MSD, ABO.  
All rights reserved.  
Unauthorized reproduction or distribution prohibited.

1

***Controversies Surrounding The  
Significance and Management of Mouth  
Breathing and Sleep-Related Breathing  
Disturbances in Children***



Michael K. DeLuke, DDS, MDS, ABO  
Owner, DeLuke Orthodontic Coaching  
Diplomate, American Board of Orthodontics  
Visiting Faculty, Montefiore Einstein SDM, Dept. of Orthodontics

2

**Disclosure:**  
***Neither I nor members of my immediate family have any financial relationships with any other commercial entities that may be relevant to this presentation.***

3



4



5



6

SPECIAL ARTICLE June 2025 • Vol 167 • Issue 6 AJO-DO

## Mouth breathing and orthodontic intervention: Does the evidence support keeping our mouths shut?

Sanjivan Kandasamy  
*St Louis, Mo, and Nedlands and Midland, Western Australia, Australia*

*“You can’t reliably determine if mouth breathing is a thing.”*

*“A causal relationship between respiratory behavior and dentofacial growth cannot be drawn.”*

*“There is a common misconception linking mouth breathing as a causative factor to ADHD.”*

*“Some clinicians are using a widely relatable concept such as mouth breathing and oversensitizing the general public about its unsubstantiated detrimental consequences, especially to young children.”*

*“Humans only need to slightly open their mouths to breathe orally without any extreme posturing.”*

7



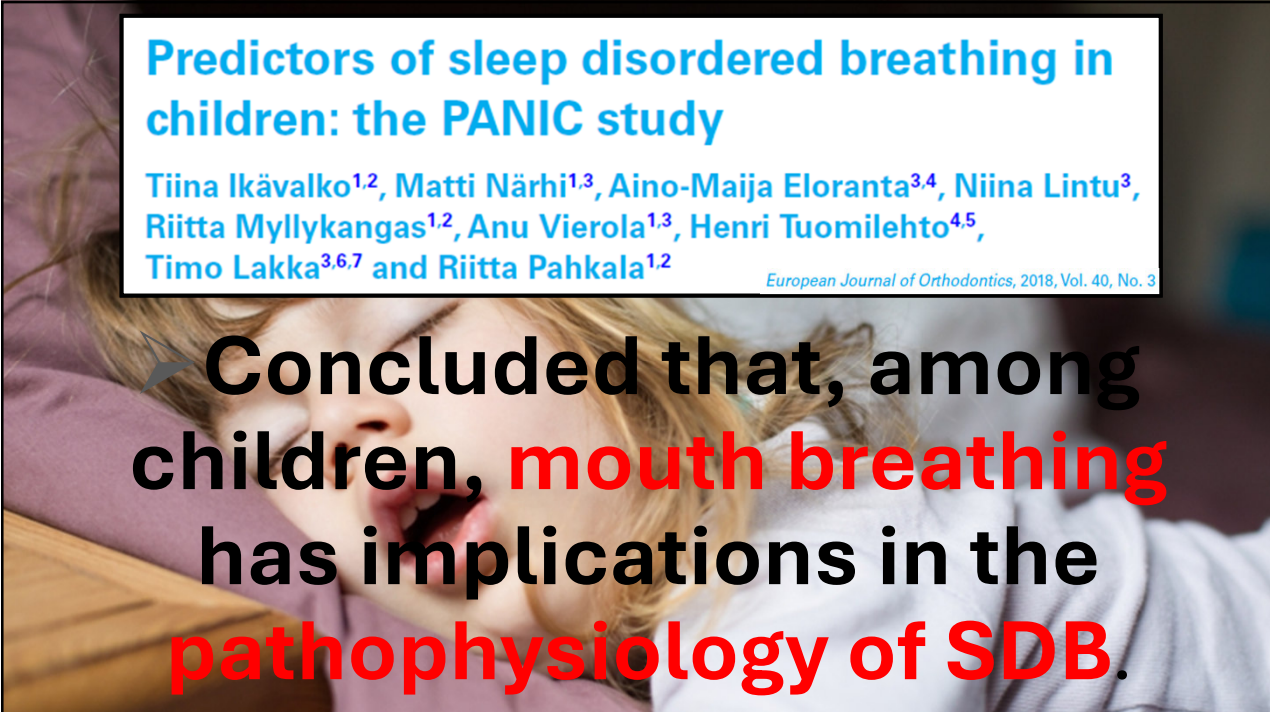
8



9

**Children**  
**SHOULD NOT**  
**chronically mouth**  
**breathe.**

10



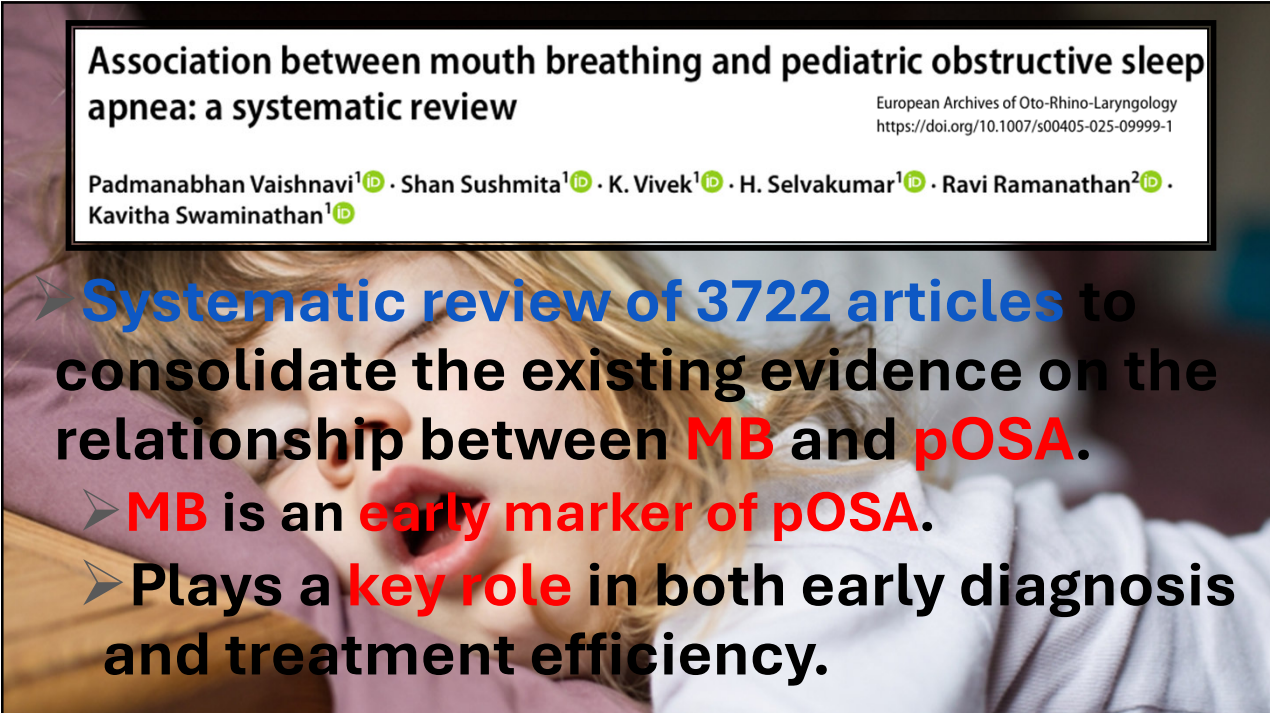
**Predictors of sleep disordered breathing in children: the PANIC study**

Tiina Ikävalko<sup>1,2</sup>, Matti Närhi<sup>1,3</sup>, Aino-Maija Eloranta<sup>3,4</sup>, Niina Lintu<sup>3</sup>, Riitta Myllykangas<sup>1,2</sup>, Anu Vierola<sup>1,3</sup>, Henri Tuomilehto<sup>4,5</sup>, Timo Lakka<sup>3,6,7</sup> and Riitta Pahkala<sup>1,2</sup>

*European Journal of Orthodontics, 2018, Vol. 40, No. 3*

➤ **Concluded that, among children, **mouth breathing** has implications in the **pathophysiology of SDB.****

11



**Association between mouth breathing and pediatric obstructive sleep apnea: a systematic review**

European Archives of Oto-Rhino-Laryngology  
<https://doi.org/10.1007/s00405-025-09999-1>

Padmanabhan Vaishnavi<sup>1</sup> · Shan Sushmita<sup>1</sup> · K. Vivek<sup>1</sup> · H. Selvakumar<sup>1</sup> · Ravi Ramanathan<sup>2</sup> · Kavitha Swaminathan<sup>1</sup>


➤ **Systematic review of 3722 articles to consolidate the existing evidence on the relationship between **MB** and **pOSA.****

➤ **MB** is an **early marker of pOSA.**

➤ Plays a **key role** in both early diagnosis and treatment efficiency.

12

## The Mechanics of Mouth-Breathing and Its Role in Nasal and Sleep Disorders

Howard D. Stupak 

Eur J Rhinol Allergy 2023; 6(1): 21-27

Department of Otolaryngology, Head and Neck Surgery, Albert Einstein College of Medicine, New York, USA

**An apneic/hypopneic event may be a 3-step process:**

- 1. Mouth breathing unlocks the oral mouth-closure clamp** that restrains the tongue in the mouth.
- 2. Prolapse** of the now-released tongue and soft palate into pharynx.
- 3. Build-up of negative pressure** within the pharynx distal to the site of obstruction.

13

### RESEARCH ARTICLE

## Sleep Difficulties and Symptoms of Attention-deficit Hyperactivity Disorder in Children with Mouth Breathing

Ritesh Kalaskar<sup>1</sup>, Priyanka Bhaje<sup>2</sup>, Ashita Kalaskar<sup>3</sup>, Abhijeet Faye<sup>4</sup>

International Journal of Clinical Pediatric Dentistry (2021); 10.5005/ip-journals-10005-1987

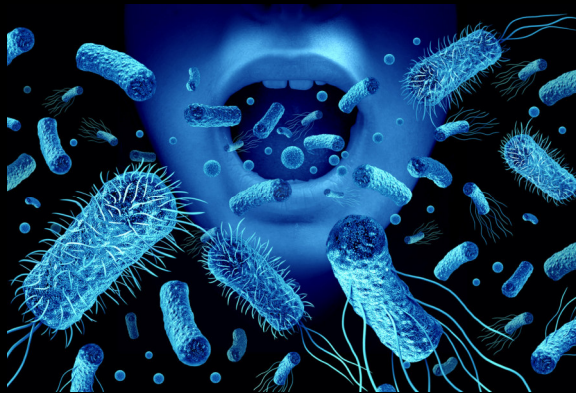
- Found that behavioral symptoms similar to those found in ADHD are frequently present in mouth breathers.
- Concluded:
  - “Children with ADHD should always be assessed for the presence of mouth breathing, as early identification and correction of mouth breathing may help in preventing unnecessary exposure to medication for treating ADHD.”

14

# Alterations in Oral–Nasal–Pharyngeal Microbiota and Salivary Proteins in Mouth-Breathing Children

Frontiers in Microbiology  
October 2020 | Volume 11 | Article 575550

Cancan Fan<sup>1,2</sup>, Lihong Guo<sup>1,2</sup>, Haijing Gu<sup>1,2</sup>, Yongbiao Huo<sup>1,2\*</sup> and Huancai Lin<sup>1,2\*</sup>



➤ MB pts had **higher levels of pathogenic bacteria**, and lower levels of protective bacteria.

15

## The Irreversible Consequences of Pediatric Airway Disease



Dr. Mike DeLuke

Dr. David Gozal

**Is** it better to breathe your nose?

16

# The Irreversible Consequences of Pediatric Airway Disease



Dr. Mike DeLuke

Dr. David Gozal

**Is** it better to breathe your nose?



17

CLINICAL REVIEW Sleep Medicine Reviews 24 (2015) 46–56

## Pediatric sleep-disordered breathing: New evidence on its development

Christian Guilleminault\*, Farah Akhtar

*Stanford University Sleep Medicine Division, Stanford Outpatient Medical Center, Redwood City, CA, USA*



- To **prevent the development of OSA**, we need to understand the impact of **mouth breathing** and **snoring** on the **craniofacial growth & development of the face.**
- Ultimately, the goal is **to provide normal and continuous nasal breathing while awake & asleep.**

18



**•We should absolutely be concerned about chronic MB in children.**

19

## **Common Misunderstanding**

**•A child needs to have an  $AHI \geq 1$  and a diagnosis of OSA to address their airway disease.**

20

(Amended 3-15-19)

## American Association of Orthodontists

White Paper: **Obstructive Sleep Apnea and Orthodontics**

---

SPECIAL ARTICLE AJO-DO

### Sleep-disordered breathing and orthodontics: An American Association of Orthodontists white paper update

Juan Martin Palomo,<sup>a</sup> Julia Cohen-Levy,<sup>b</sup> Carlos Flores-Mir,<sup>c,d</sup> Rooz Khosravi,<sup>e</sup> Mitchell Levine,<sup>f</sup>  
 Michael Pickard,<sup>g</sup> Jackie Hittner,<sup>h</sup> John Callahan,<sup>i</sup> and Steven M. Siegel<sup>l,j</sup>  
Cleveland, Ohio, and Quebec and Alberta, Canada, and Seattle, Wash, and Chapel Hill, NC, and Moscow, Idaho, and Saint Louis, Mo, and Baltimore, Md


21

SPECIAL ARTICLE AJO-DO

### Sleep-disordered breathing and orthodontics: An American Association of Orthodontists white paper update

Juan Martin Palomo,<sup>a</sup> Julia Cohen-Levy,<sup>b</sup> Carlos Flores-Mir,<sup>c,d</sup> Rooz Khosravi,<sup>e</sup> Mitchell Levine,<sup>f</sup>  
 Michael Pickard,<sup>g</sup> Jackie Hittner,<sup>h</sup> John Callahan,<sup>i</sup> and Steven M. Siegel<sup>l,j</sup>  
Cleveland, Ohio, and Quebec and Alberta, Canada, and Seattle, Wash, and Chapel Hill, NC, and Moscow, Idaho, and Saint Louis, Mo, and Baltimore, Md

**“...polysomnography, combined with clinical symptoms, remains the gold standard for diagnosing OSA.”<sup>34</sup>**



**HHS Public Access** 34  
 Author manuscript  
*Int Forum Allergy Rhinol.* Author manuscript; available in PMC 2024 January 01.  
 Published in final edited form as:  
*Int Forum Allergy Rhinol.* 2023 July ; 13(7): 1061-1482. doi:10.1002/air.23079.

**International Consensus Statement on Obstructive Sleep Apnea**

- The quote referenced was referring to **adult OSA**.
- This manuscript goes on to say that, for children, **“While AHI was the starting point and is the best studied metric of OSA, it falls short as a unifying metric that captures both OSA severity and outcome prognostication.”**
- Adds that, **“When a diagnosis of OSA based on PSG is not possible, a diagnosis of SDB is often made based on clinical evaluation alone.”**

22

**ADULT VERSUS PEDIATRIC SCORING CRITERIA FOR ADOLESCENT PSG**

Differences in Overnight Polysomnography Scores Using the Adult and Pediatric Criteria for Respiratory Events in Adolescents

Jennifer A. Accardo, MD<sup>1</sup>; Justine Shults, PhD<sup>2</sup>; Mary B. Leonard, MD, MSCE<sup>2,3</sup>; Joel Traylor<sup>1</sup>; Carole L. Marcus, MBBCh<sup>1,4</sup>

<sup>1</sup>The Children's Hospital of Philadelphia, Sleep Center, Philadelphia, PA; <sup>2</sup>University of Pennsylvania School of Medicine, Department of Biostatistics and Epidemiology, Philadelphia, PA; <sup>3</sup>The Children's Hospital of Philadelphia, Division of Nephrology, Philadelphia, PA; <sup>4</sup>University of Pennsylvania School of Medicine, Philadelphia, PA

SLEEP 2010;33(10):1333-1339

- Children typically have a **more rapid respiratory rate** than adults.
- **Less likely** to suffer from an A/H of  $\geq 10$ s duration.
- **Short events** can still lead to **significant comorbidities**.


23

CLINICAL REVIEW Sleep Medicine Reviews 24 (2015) 46–56

Pediatric sleep-disordered breathing: New evidence on its development

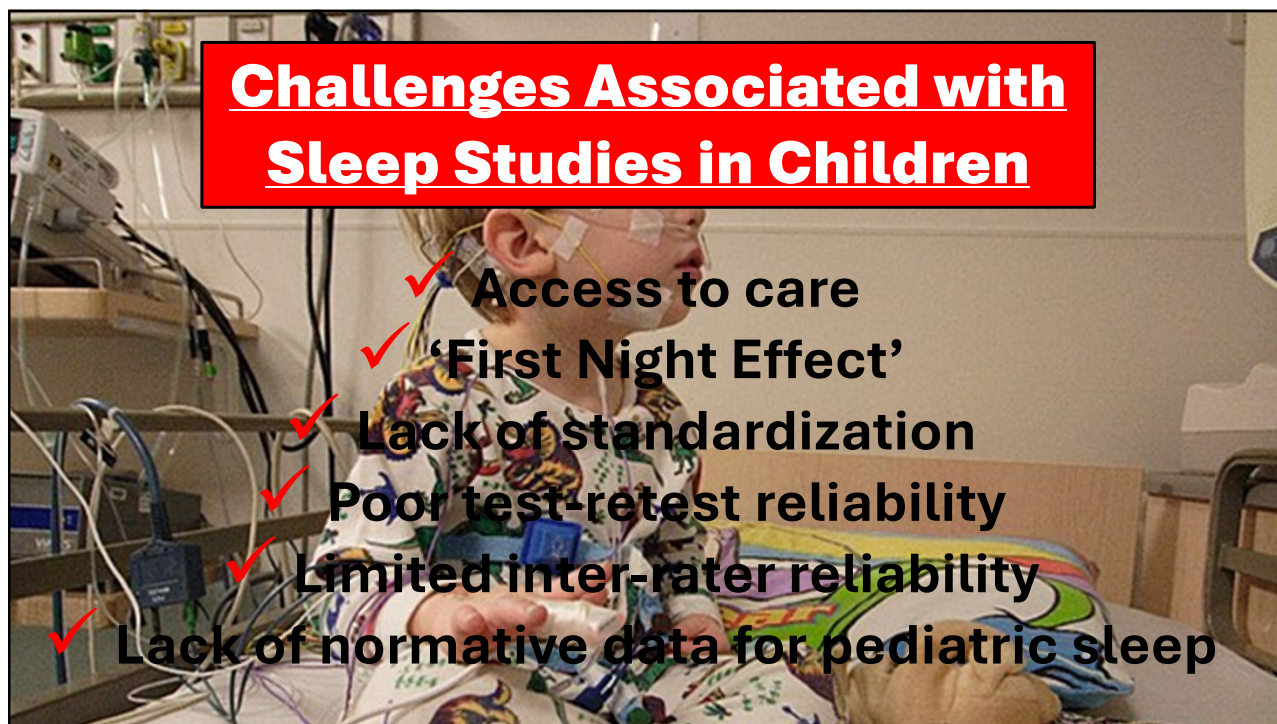
Christian Guilleminault\*, Farah Akhtar

Stanford University Sleep Medicine Division, Stanford Outpatient Medical Center, Redwood City, CA, USA



**“Even if a diagnostic PSG is done, a poor understanding of abnormal breathing patterns during sleep in children may lead to an underscoring of respiratory events.”**

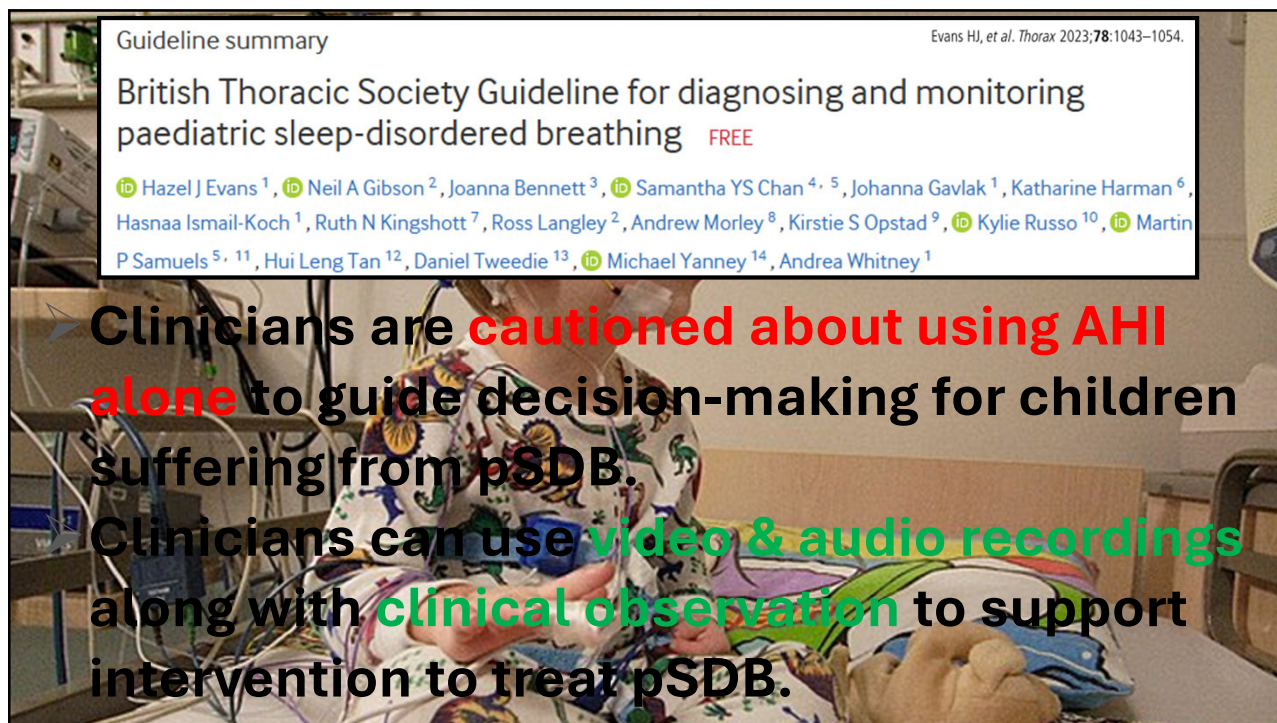
24



## Challenges Associated with Sleep Studies in Children

- ✓ Access to care
- ✓ 'First Night Effect'
- ✓ Lack of standardization
- ✓ Poor test-retest reliability
- ✓ Limited inter-rater reliability
- ✓ Lack of normative data for pediatric sleep

25



Guideline summary Evans HJ, et al. *Thorax* 2023;**78**:1043–1054.

British Thoracic Society Guideline for diagnosing and monitoring paediatric sleep-disordered breathing **FREE**

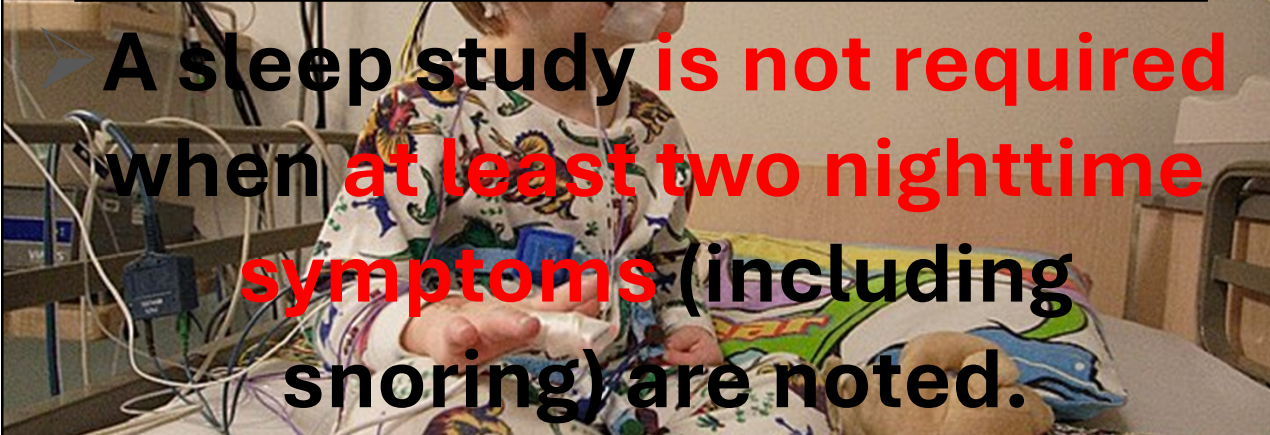
[Hazel J Evans](#)<sup>1</sup>, [Neil A Gibson](#)<sup>2</sup>, [Joanna Bennett](#)<sup>3</sup>, [Samantha YS Chan](#)<sup>4, 5</sup>, [Johanna Gavlak](#)<sup>1</sup>, [Katharine Harman](#)<sup>6</sup>, [Hasnaa Ismail-Koch](#)<sup>1</sup>, [Ruth N Kingshott](#)<sup>7</sup>, [Ross Langley](#)<sup>2</sup>, [Andrew Morley](#)<sup>8</sup>, [Kirstie S Opstad](#)<sup>9</sup>, [Kylie Russo](#)<sup>10</sup>, [Martin P Samuels](#)<sup>5, 11</sup>, [Hui Leng Tan](#)<sup>12</sup>, [Daniel Tweedie](#)<sup>13</sup>, [Michael Yanney](#)<sup>14</sup>, [Andrea Whitney](#)<sup>1</sup>

- Clinicians are **cautioned about using AHI alone** to guide decision-making for children suffering from pSDB.
- Clinicians can use **video & audio recordings** along with **clinical observation** to support intervention to treat pSDB.

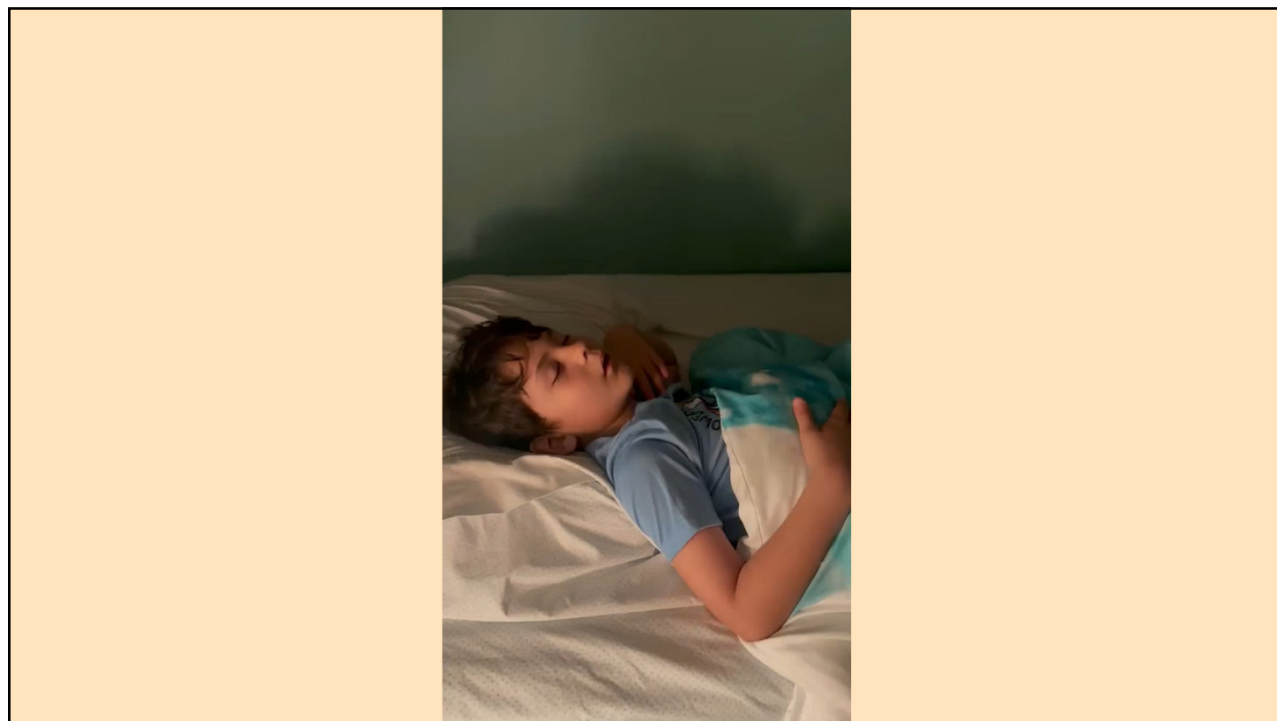
26

**Management of obstructive sleep apnea syndrome type 1 in children and adolescents – A French consensus**  
G. Aubertin, M. Akkari, A. Andrieux, C. Colas Des Francs, B. Fauroux, P. Franco, F. Gagnadoux, O. Gallet de Santerre, B. Grollemund, S. Hartley, et al.  
HAL Id: hal-04177902  
<https://hal.science/hal-04177902v1>  
Submitted on 14 Aug 2023


➤ **A sleep study is not required when at least two nighttime symptoms (including snoring) are noted.**

A photograph of a young child sitting up in a hospital bed. The child is wearing a white hospital gown with a colorful pattern. They are surrounded by medical equipment, including a bed rail, a bedside table with a lamp, and various wires and monitors. The child appears to be looking towards the camera.


27




28



**What  
was his  
AHI?**



29



**Fact**

- **A child does not need to have an AHI  $\geq 1$  and a diagnosis of OSA to address their airway disease.**

30

# Common Misunderstanding

• **MB does not have a negative impact on craniofacial G&D, and no currently known craniofacial phenotypes can identify the presence of pSDB.**




31

SPECIAL ARTICLE **AJO-DO**

Sleep-disordered breathing and orthodontics: An American Association of Orthodontists white paper update

Juan Martin Palomo,<sup>a</sup> Julia Cohen-Levy,<sup>b</sup> Carlos Flores-Mir,<sup>c,d</sup> Rooz Khosravi,<sup>e</sup> Mitchell Levine,<sup>f</sup> Michael Pickard,<sup>g</sup> Jackie Hittner,<sup>h</sup> John Callahan,<sup>i</sup> and Steven M. Siegel<sup>l</sup>  
Cleveland, Ohio, and Quebec and Alberta, Canada, and Seattle, Wash, and Chapel Hill, NC, and Moscow, Idaho, and Saint Louis, Mo, and Baltimore, Md

**“No currently known craniofacial phenotypes can identify the presence of SDB.”**

 **HHS Public Access** 34  
Author manuscript  
*Int Forum Allergy Rhinol.* Author manuscript; available in PMC 2024 January 01.  
Published in final edited form as:  
*Int Forum Allergy Rhinol.* 2023 July ; 13(7): 1061–1482. doi:10.1002/alr.23079.

**International Consensus Statement on Obstructive Sleep Apnea**

Craniofacial anomalies that are common in patients with OSA include mandibular deficiency, narrowed posterior airway space, steep mandibular plane angle, and long anterior facial height.<sup>234,1844</sup>

32

***“Of all the causes of malocclusion, mouth breathing is the most potent, constant, and most varied in its results.”***

*-Edward Angle (1907)*

33

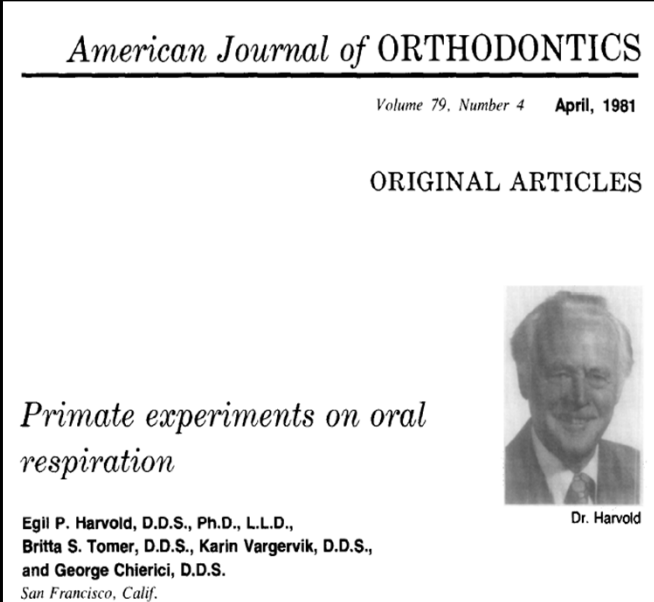
The impact of mouth breathing on dentofacial development: A concise review

Front. Public Health 10:929165.  
doi: 10.3389/fpubh.2022.929165

Lizhuo Lin<sup>1,2†</sup>, Tingting Zhao<sup>1,2,3†</sup>, Danchen Qin<sup>1,2</sup>,  
Fang Hua<sup>2,3,4,5\*</sup> and Hong He<sup>1,2,3\*</sup>

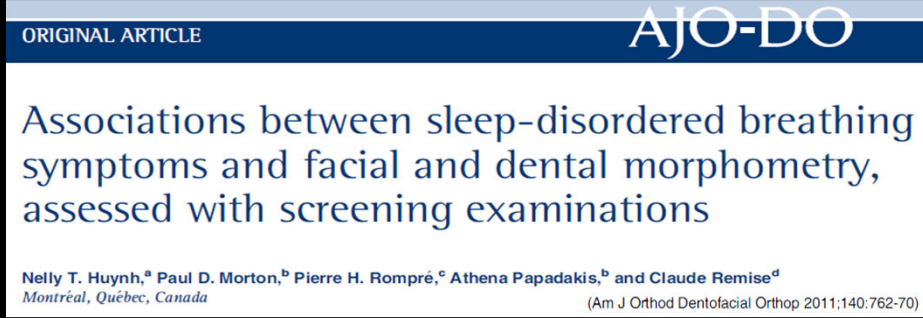
- Mouth breathing is one of the most **common and deleterious habits** in children.
- Uncorrected MB can result in **abnormal dental and maxillofacial development.**

34



- ✓ **Narrower dental arches**
- ✓ **More vertical facial growth**
- ✓ **More dental crowding**
- ✓ **More severe malocclusions**

35



- ✓ **Narrow dental arches w/high, vaulted palate**
  - ✓ **More vertical facial growth**
  - ✓ **Severe Mx/Md crowding**
  - ✓ **More severe malocclusions**

36

Upper airway obstruction and craniofacial morphology

JEROLD J. PRINCIPATO, MD, Bethesda, Maryland  
(OTOLARYNGOL HEAD NECK SURG 1991;104:881)

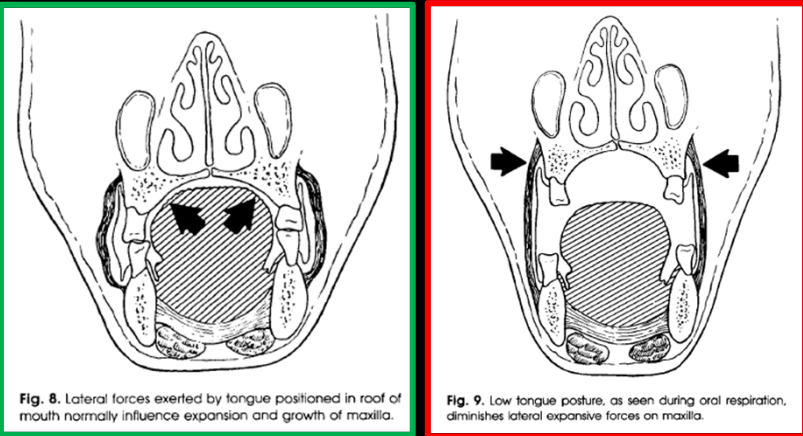


Fig. 8. Lateral forces exerted by tongue positioned in roof of mouth normally influence expansion and growth of maxilla.

Fig. 9. Low tongue posture, as seen during oral respiration, diminishes lateral expansive forces on maxilla.

- Natural growth & expansion of the Mx is **influenced by tongue forces** exerted both at rest and during swallowing.
- When the mouth is open, **diminished expansion force exerted by the tongue.**
- Results in **unopposed lingually directed constricting forces** being exerted on the buccal segments by the taught buccinator and masseter muscles.

[Enlow DH. Handbook of facial growth. 2nd ed. Philadelphia:WB Saunders Company, 1982.]

37



38

Establishment of nasal breathing should be the ultimate goal to secure adequate craniofacial and airway development in children<sup>☆,☆☆</sup>  
Carlos Torre<sup>a</sup> e Christian Guilleminault<sup>b,\*</sup>  
J Pediatr (Rio J). 2018;94(2):101-103

**By 6 yrs of age, ~ 60% of the adult face has already developed.**

**Chronic mouth breathing during a child's active craniofacial developmental period can result in anatomical alterations that negatively affect the airway.**

**Therefore, the establishment of proper nasal breathing early in life is *essential* to maximize growth of the skeletal complex and upper airway.**

39

CLINICAL REVIEW Sleep Medicine Reviews 40 (2018) 203–214

From oral facial dysfunction to dysmorphism and the onset of pediatric OSA

Christian Guilleminault<sup>a, b, \*</sup>, Yu-Shu Huang<sup>a, b</sup>

<sup>a</sup> Stanford University Sleep Medicine Division, CA, USA  
<sup>b</sup> Pediatric Sleep Laboratory Division of Child Psychiatry Chang Gung Memorial Hospital and Medical College, Linkou, Taiwan

**Children mouth breathe** → **Develop facial dysmorphism**

40



41

**Fact**

- **MB does negatively impact craniofacial G&D, and multiple craniofacial phenotypes exist which can help identify the presence of pSDB.**

42

## Common Misunderstanding



**•Most children with SDB will outgrow it, so there's no point in intervening.**

43

SPECIAL ARTICLE AJO-DO

Sleep-disordered breathing and orthodontics: An American Association of Orthodontists white paper update

Juan Martin Palomo,<sup>a</sup> Julia Cohen-Levy,<sup>b</sup> Carlos Flores-Mir,<sup>c,d</sup> Rooz Khosravi,<sup>e</sup> Mitchell Levine,<sup>f</sup> Michael Pickard,<sup>g</sup> Jackie Hittner,<sup>h</sup> John Callahan,<sup>i</sup> and Steven M. Siegel<sup>h</sup>  
Cleveland, Ohio, and Quebec and Alberta, Canada, and Seattle, Wash, and Chapel Hill, NC, and Moscow, Idaho, and Saint Louis, Mo, and Baltimore, Md

***“...prepubertal OSA tends to resolve naturally during the transition to adolescence. Primary snoring and mild SDB do not appear to be strongly associated with progression to more severe SDB; in other words, there is a tendency towards spontaneous remission of SDB from preschool years to adolescence.”<sup>17,18</sup>***

44

**Natural history of sleep disordered breathing in prepubertal children transitioning to adolescence** 17  
*Eur Respir J.* 2016 May ; 47(5): 1402-1409.

Edward O. Bixler<sup>1</sup>, Julio Fernandez-Mendoza<sup>1</sup>, Duanping Liao<sup>2</sup>, Susan Calhoun<sup>1</sup>, Sol M. Rodriguez-Colon<sup>2</sup>, Jordan Gaines<sup>1</sup>, Fan He<sup>2</sup>, Alexandros N. Vgontzas<sup>1</sup>

<sup>1</sup>Dept of Psychiatry, Penn State University, College of Medicine, Hershey, PA, USA.

<sup>2</sup>Dept of Public Health Sciences, Penn State University, College of Medicine, Hershey, PA, USA.

- The strongest claim for remission is based on **N=6**.
- Made the **OSA scoring rule more stringent w/age**.
  - Defined apnea as  $\geq 5s$  if  $<16$  y.o., but  $\geq 10s$  if  $\geq 16$  y.o.
- Found that in up to 30.3% of snorers w/mild SDB, their SDB will **persist or worsen** over time.

45

Published in final edited form as:  
*J Pediatr.* 2010 July ; 157(1): 57-61. doi:10.1016/j.jpeds.2010.01.033.

18

**Incidence and Remission of Sleep Disordered Breathing and Related Symptoms in 6-17 Year Old Children-the Tucson Children's Assessment of Sleep Apnea Study (TuCASA)**

James L. Goodwin, PhD<sup>1,2,3</sup>, Monica M. Vasquez, MPH<sup>2,3</sup>, Graciela E. Silva, PhD, MPH<sup>4</sup>, and Stuart F. Quan, MD<sup>1,2,3,5</sup>

- The paper's own discussion **contradicts the claim** that children outgrow SDB:

Moreover, SDB in preadolescents may not spontaneously remit and a significant number will develop SDB when they are adolescents.

46

**Even if they DO  
outgrow it, what  
damage will be  
done in the interim?**

47



**Cardiovascular  
Implications**

48

**PRESCHOOL CHILDREN WITH OSA AND ELEVATED BLOOD PRESSURE**

<http://dx.doi.org/10.5665/sleep.2890>

**Preschool Children with Obstructive Sleep Apnea: The Beginnings of Elevated Blood Pressure?**

Lauren C. Nisbet, BMedSc<sup>1</sup>; Stephanie R. Yiallourou, PhD<sup>1</sup>; Sarah N. Biggs, PhD<sup>1</sup>; Gillian M. Nixon, MBChB, MD, FRACP<sup>1,2</sup>; Margot J. Davey, MBBS, FRACP<sup>1,2</sup>; John A. Trinder, PhD<sup>3</sup>; Lisa M. Walter, PhD<sup>1</sup>; Rosemary S. C. Horne, PhD<sup>1</sup>

<sup>1</sup>The Ritchie Centre, Monash Institute of Medical Research, Monash University, Melbourne, Victoria, Australia; <sup>2</sup>Melbourne Children's Sleep Centre, Monash Children's Programme, Monash Medical Centre, Melbourne, Victoria, Australia; <sup>3</sup>Discipline of Psychological Sciences, University of Melbourne, Melbourne, Victoria, Australia

SLEEP, Vol. 36, No. 8, 2013

- Children who suffer from **pSDB** maintain a **higher baseline blood pressure** when sleeping.
- This may be the first step toward development of **daytime BP abnormalities**.
- This could result in **hypertension in adulthood**.

49

**AHA SCIENTIFIC STATEMENT**

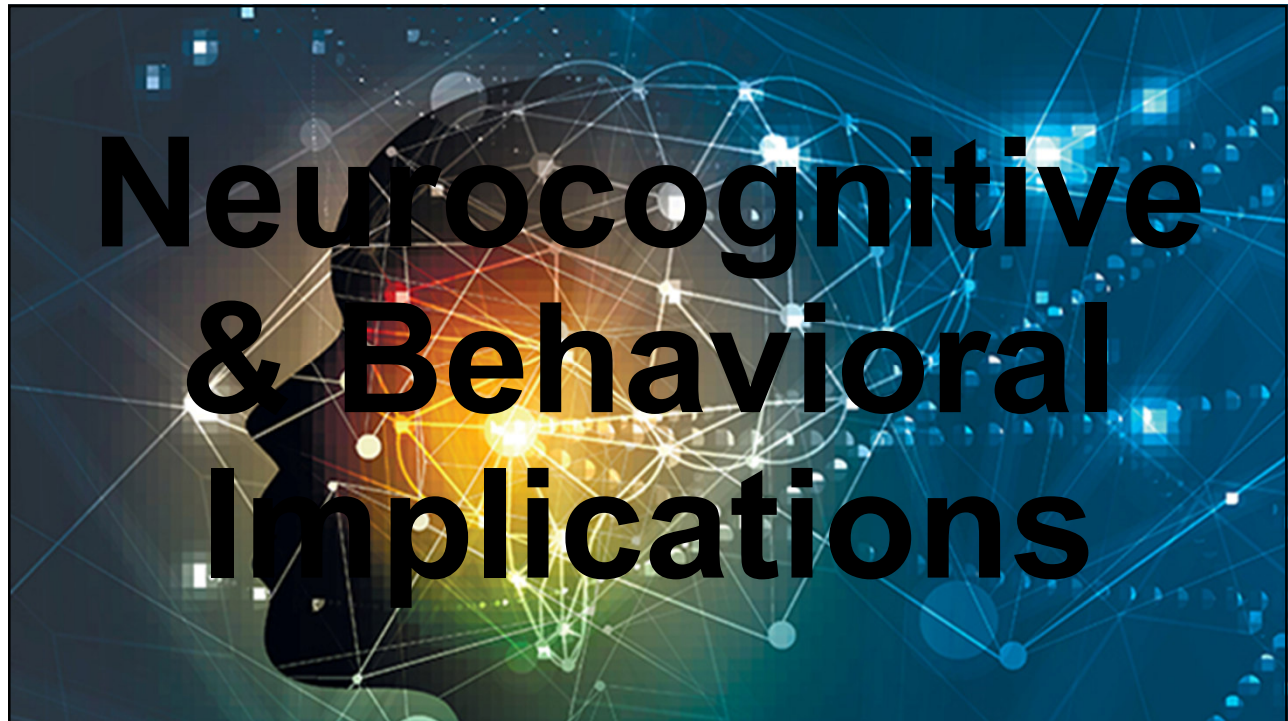
J Am Heart Assoc. 2021;10:e022427. DOI: 10.1161/JAHA.121.022427

**Sleep-Disordered Breathing and Cardiovascular Disease in Children and Adolescents**

A Scientific Statement From the American Heart Association

- Even **mild pSDB** is associated with **adverse CV, metabolic, and autonomic effects**.
- These effects can **persist into adulthood**.

50



51

Bonuck et al. (2012, Pediatrics)

➤ **Early-life SDB had strong, persistent, and statistically significant detrimental effects on childhood behavior.**

Hunter, Gozal et al. (2016, American Journal of Resp & Crit Care Medicine)

➤ **Snoring alone has a significant impact on neurocognitive development.**

52

## Isaiah et al. (2021, Nature Communications)

- Found that children who snored or even gasped during sleep had smaller volumes of grey matter in their frontal lobes.

## Menzies et al. (2022, Sleep Medicine Reviews)

- Breathing disturbances from snoring to OSA were linked to deficits across all aspects of neurocognitive function, including:
  - *Intelligence, attention, memory, language, & visual spatial skills*

53

## Attention-Deficit/Hyperactivity Disorder Traits in Childhood and Physical Health in Midlife

Joshua Stott, PhD; Elizabeth O'Nions, PhD; Lucy Corrigan, BSc; Joanne Cotton, PhD; Warren James Donnellan, PhD; Danielle Nimmons, PhD; Henry Shelford, MSc; Céline El Baou, MSc; Gavin R. Stewart, PhD; Rachael W. Cheung, PhD; Roopal Desai, PhD; Douglas G. J. McKechnie, MBBS; Aphrodite Eshetu, MSc; Rob Saunders, PhD; Jae Won Suh, PhD; William Mandy, PhD; Darya Gaysina, PhD; Philip Asherson, PhD; Jessica Agnew-Blais, PhD; Amber John, PhD

JAMA Network Open. 2026;9(1):e2554802. doi:10.1001/jamanetworkopen.2025.54802

- Found that high ADHD traits in childhood were associated with poorer physical health outcomes in midlife.
- Addressing modifiable risk factors in children with ADHD may help mitigate long-term health disparities.

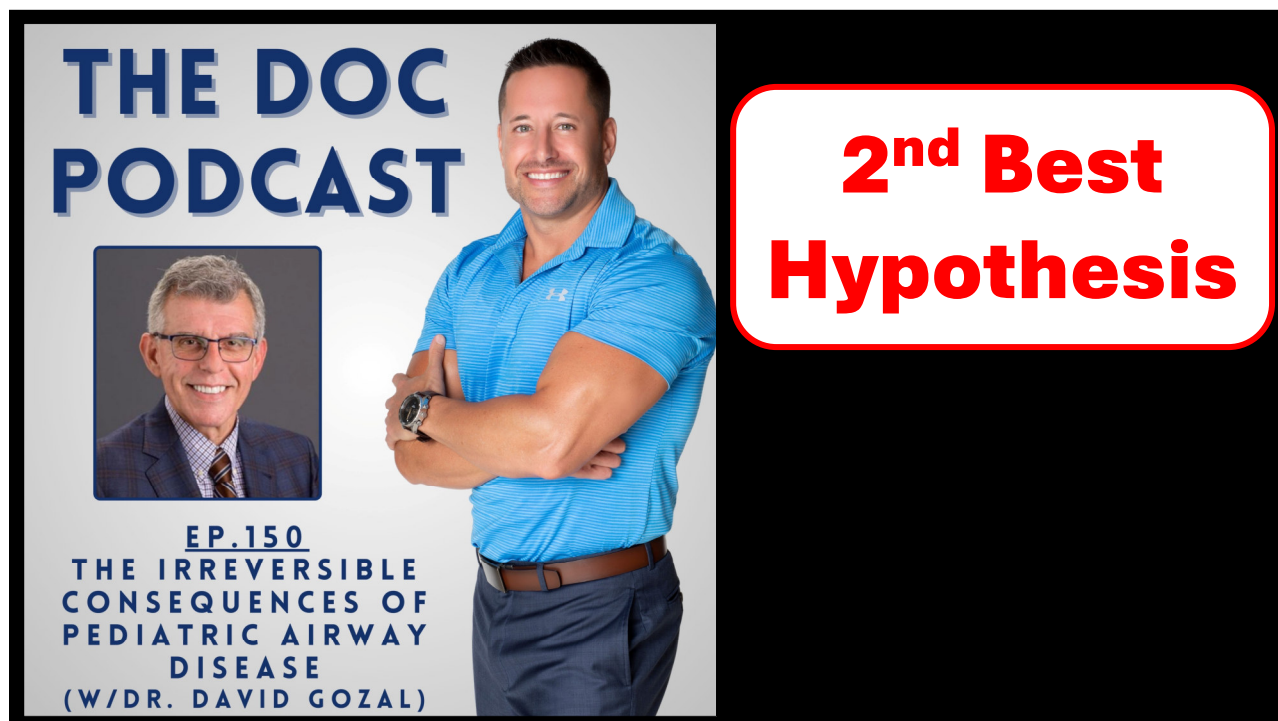
54

**Even if a child  
DOES outgrow  
the pSDB...**

55

**the damage  
done while we  
wait may be  
irreparable.**

56




**THE DOC  
PODCAST**

**2<sup>nd</sup> Best  
Hypothesis**

**EP.150  
THE IRREVERSIBLE  
CONSEQUENCES OF  
PEDIATRIC AIRWAY  
DISEASE  
(W/DR. DAVID GOZAL)**

57



**• Many children w/SDB will not  
outgrow it, and those who do can  
still suffer from its comorbidities.  
Therefore, we should absolutely  
intervene early.**

58

# Common Misunderstanding



**• CBCT imaging has no diagnostic value for SDB risk assessment.**


59

SPECIAL ARTICLE **AJO-DO**

Sleep-disordered breathing and orthodontics: An American Association of Orthodontists white paper update

Juan Martin Palomo,<sup>a</sup> Julia Cohen-Levy,<sup>b</sup> Carlos Flores-Mir,<sup>c,d</sup> Rooz Khosravi,<sup>e</sup> Mitchell Levine,<sup>f</sup> Michael Pickard,<sup>g</sup> Jackie Hittner,<sup>h</sup> John Callahan,<sup>i</sup> and Steven M. Siegel<sup>j</sup>  
Cleveland, Ohio, and Quebec and Alberta, Canada, and Seattle, Wash, and Chapel Hill, NC, and Moscow, Idaho, and Saint Louis, Mo, and Baltimore, Md

**“In summary, imaging of the upper airway using CBCT or lateral cephalograms has no diagnostic value for SDB risk assessment or diagnosis.”<sup>34</sup>**

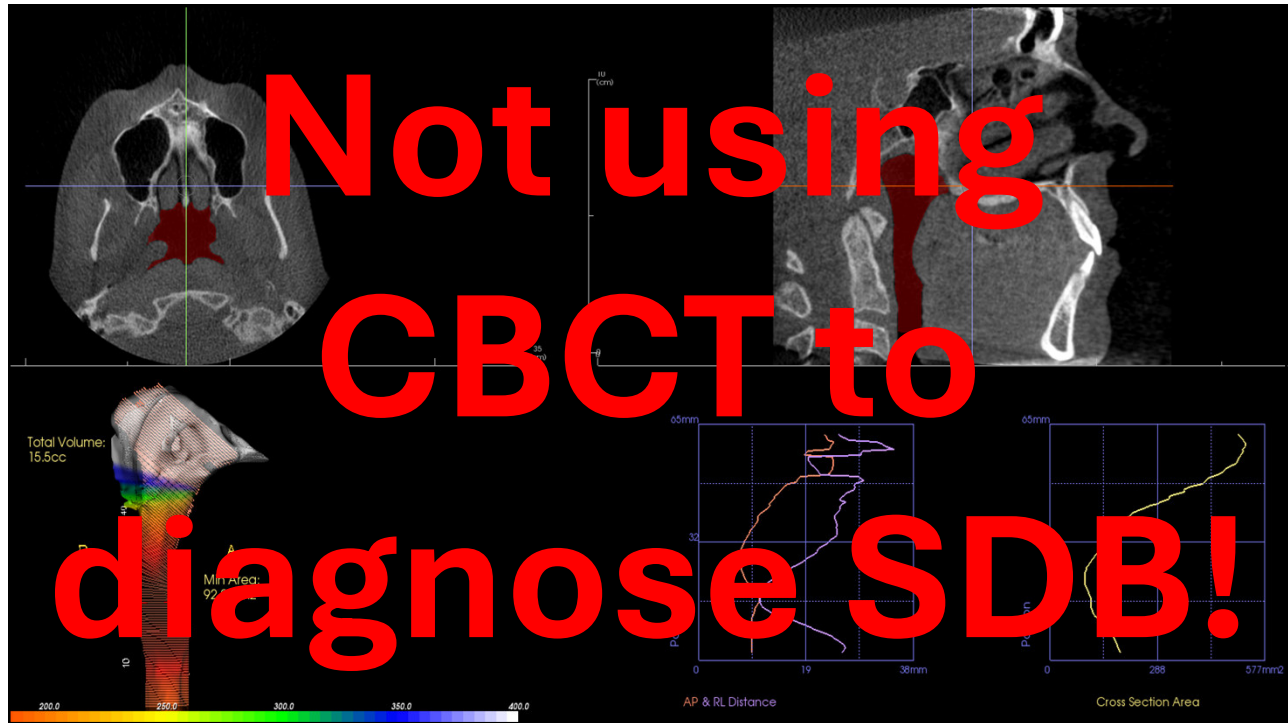
 **HHS Public Access** 34  
Author manuscript  
*Int Forum Allergy Rhinol.* Author manuscript; available in PMC 2024 January 01.

Published in final edited form as:  
*Int Forum Allergy Rhinol.* 2023 July ; 13(7): 1061–1482. doi:10.1002/alr.23079.

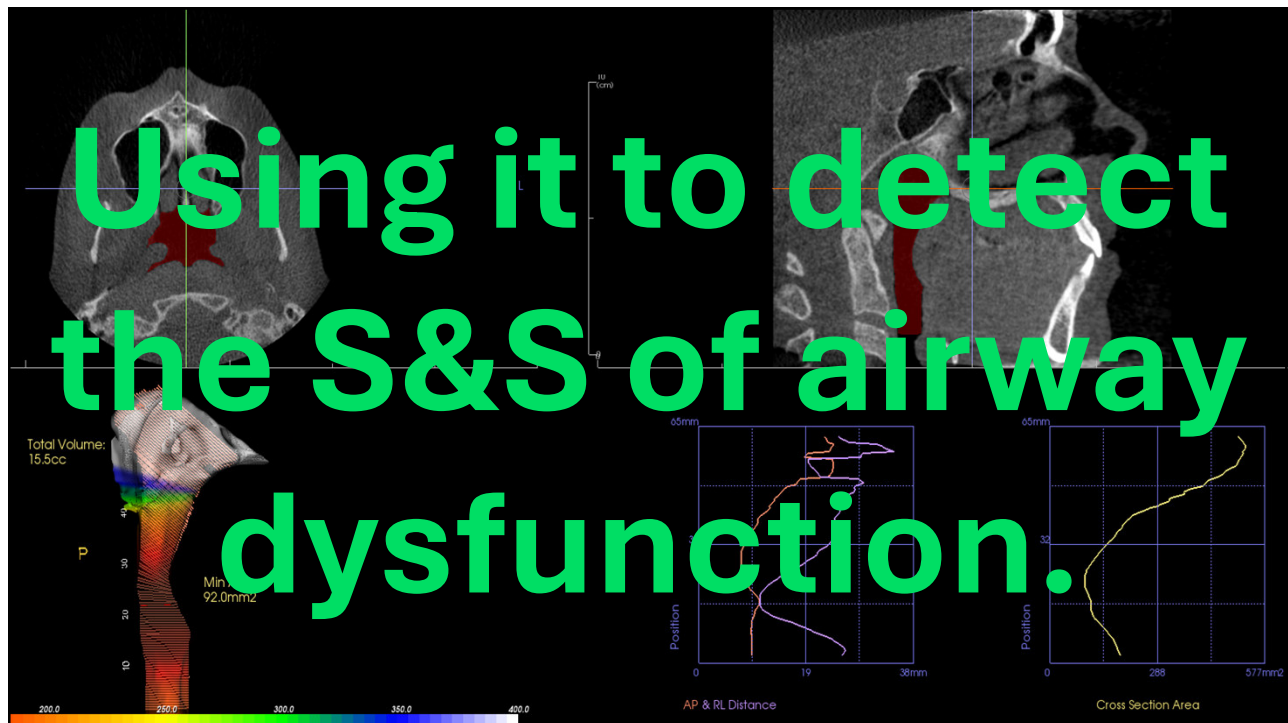
**International Consensus Statement on Obstructive Sleep Apnea**

Similar to cephalogram, CBCT can be of value as an adjunct in the anatomic evaluation of OSA

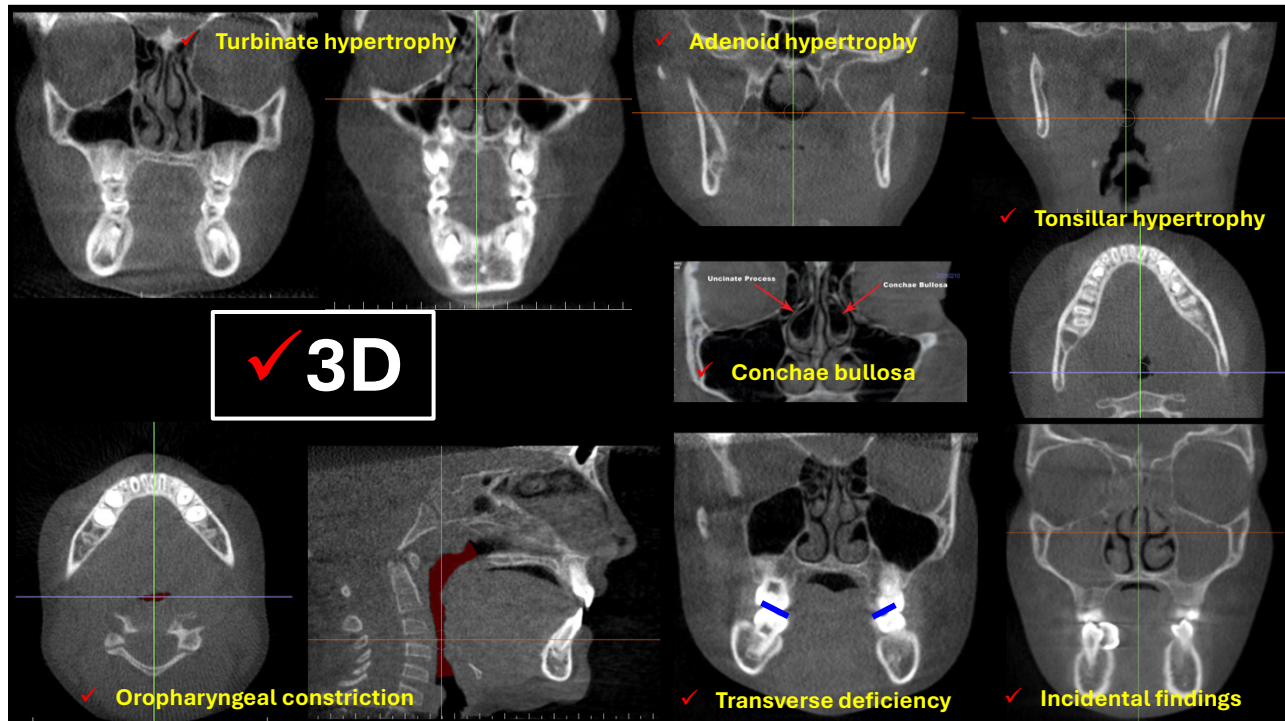
60



61



62



63

Imaging Science in Dentistry 2024; 54: 147-57  
<https://doi.org/10.5624/isd.20230249>

Correlation between cone-beam computed tomographic findings and the apnea-hypopnea index in obstructive sleep apnea patients: A cross-sectional study

Marco Isaac<sup>1,2</sup>, Dina Mohamed ElBeshlawy<sup>2,3</sup>, Ahmed Elsobki<sup>4</sup>, Dina Fahim Ahmed<sup>2</sup>, Sarah Mohammed Kenawy<sup>2,\*</sup>

- CBCT provides an **extremely accurate assessment** of craniofacial and upper airway anatomy.
- Cannot use it to diagnose OSA (or SDB, for that matter)
- BUT, it is an **essential tool for evaluating various anatomical features**, such as:
  - *Volume, surface area, cross-sectional area, angles, and shapes*
- These **features are critical** for determining the **origin, severity, and prognosis** of the disorder.

64



**•CBCT imaging can absolutely aid in the detection of the signs and symptoms of pSDB.**

65

## **Common Misunderstanding**

Two dark blue silhouettes of human heads facing each other. The head on the left contains a white spiral pattern, and the head on the right contains a white scribbled pattern. The background is a light green color.

**•Dentists should refer children to the sleep physician for a formal diagnosis of pSDB before performing any treatment.**

66

SPECIAL ARTICLE **AJO-DO**

Sleep-disordered breathing and orthodontics: An American Association of Orthodontists white paper update

Juan Martin Palomo,<sup>a</sup> Julia Cohen-Levy,<sup>b</sup> Carlos Flores-Mir,<sup>c,d</sup> Rooz Khosravi,<sup>e</sup> Mitchell Levine,<sup>f</sup> Michael Pickard,<sup>g</sup> Jackie Hittner,<sup>h</sup> John Callahan,<sup>i</sup> and Steven M. Siegel<sup>j</sup>  
Cleveland, Ohio, and Quebec and Alberta, Canada, and Seattle, Wash, and Chapel Hill, NC, and Moscow, Idaho, and Saint Louis, Mo, and Baltimore, Md

- ***“When there is suspicion of possible SDB, the orthodontist should refer the patient to the physician for a definitive diagnosis before any intervention.”***

67

**There is a  
lack of pediatric  
sleep physicians  
in most  
communities.**

68

**Do we really think it's  
the **responsibility of the  
MD** to tell the dentist  
they need to normalize  
craniofacial G&D?**

69

**How is a **medical  
diagnosis of pSDB**  
going to change  
my Tx plan?**

70

**It's not!**

71

**I'm going to treat  
the patient  
*the same way*  
regardless of a  
diagnosis of SDB.**

72

**I'm not  
treating  
SDB...**

73

**I'm  
normalizing  
anatomy!**

74



**•A formal diagnosis of pSDB is not needed for a dentist to begin normalizing aberrant craniofacial G&D.**

75

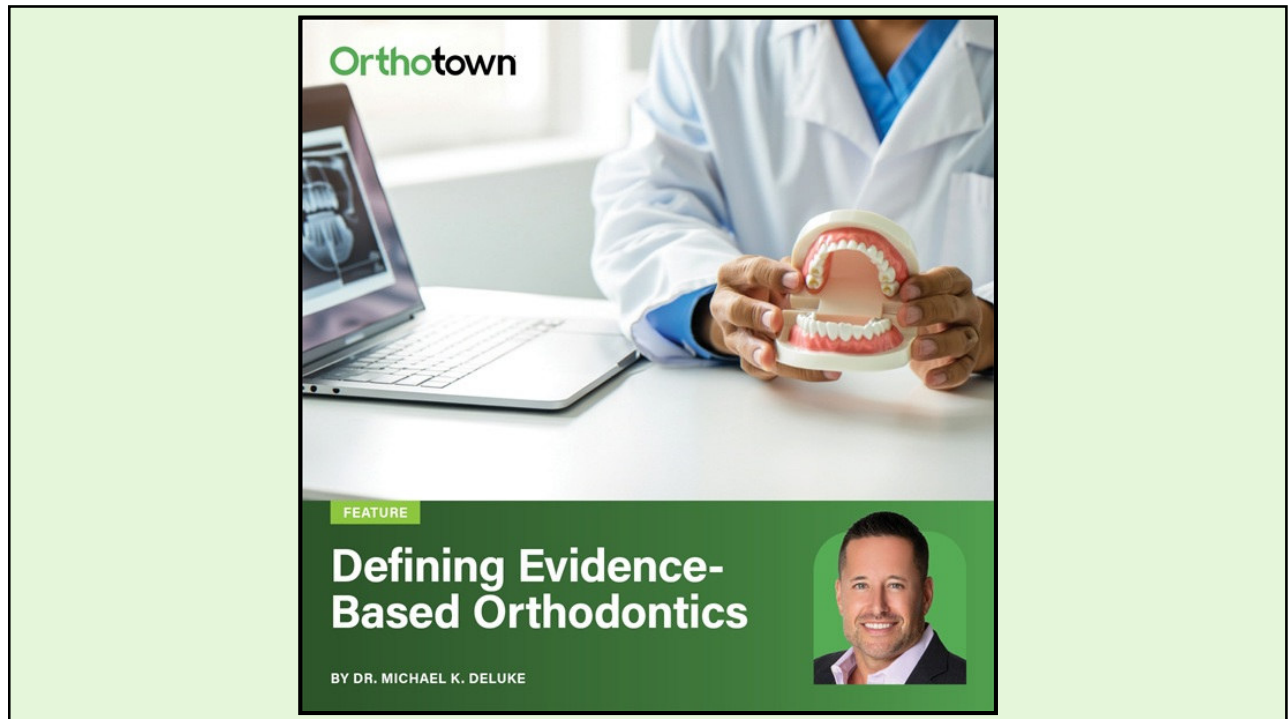
## **Common Misunderstanding**

**•Early, interceptive orthodontic and dentofacial orthopedic treatment is not “evidence-based.”**

76

# What is Evidence-Based Medicine (Orthodontics)?

77



78

## Evidence based medicine: what it is and what it isn't

*It's about integrating individual clinical expertise and the best external evidence*

Sackett, Rosenberg, Gray, Haynes, Richardson

BMJ VOLUME 312 13 JANUARY 1996

- EBM is **NOT restricted** to *randomized trials* and *meta-analyses*.
- If no RCT has been carried out for our patient's predicament, we must follow the trail to the **next best external evidence** and work from there.
- Without clinical expertise, practice risks becoming **tyrannized by evidence**.
- For even excellent external evidence may be **inapplicable to or inappropriate for** an *individual patient*.
- It requires a **bottom-up approach** that integrates...

79

## Evidence based medicine: what it is and what it isn't

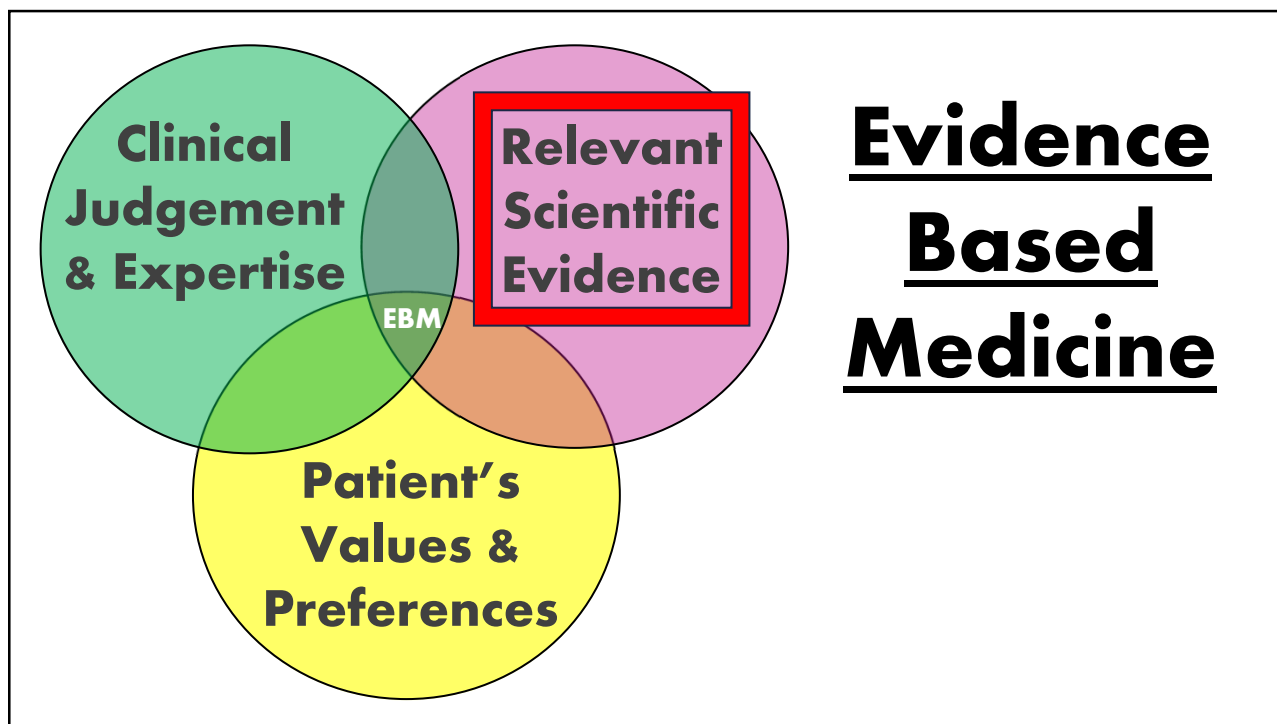
*It's about integrating individual clinical expertise and the best external evidence*

Sackett, Rosenberg, Gray, Haynes, Richardson

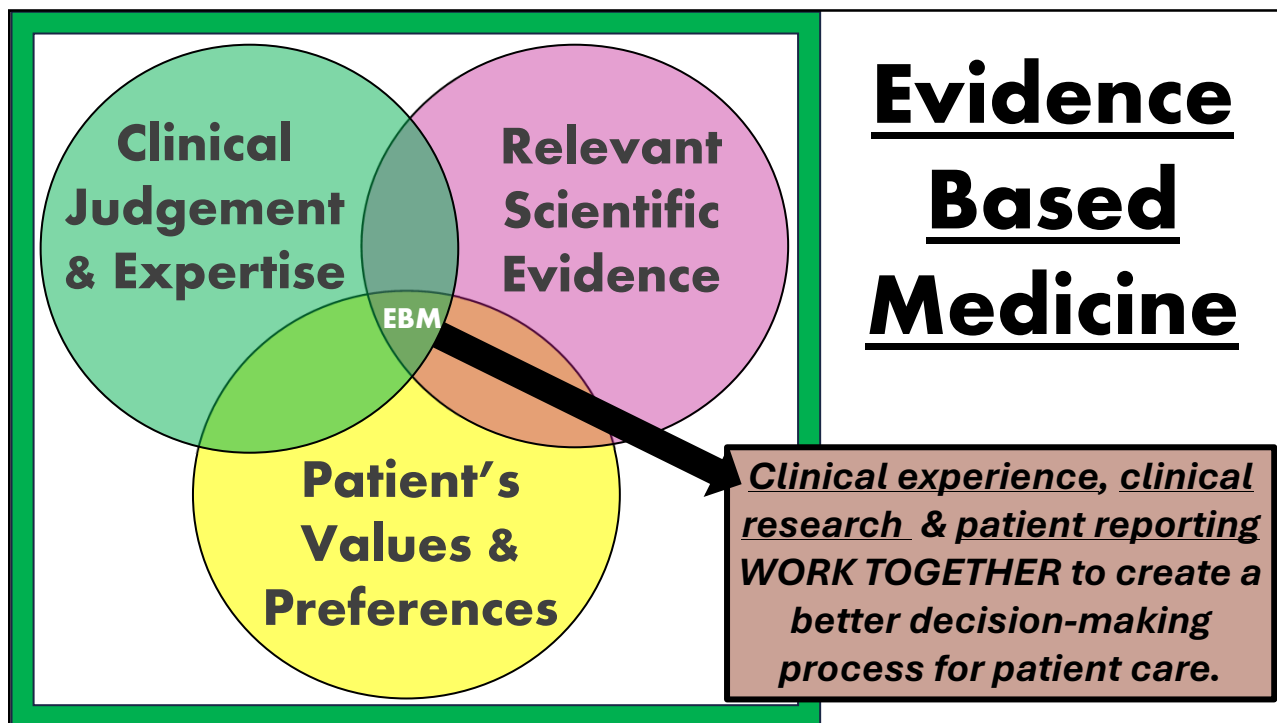
BMJ VOLUME 312 13 JANUARY 1996

- EBM is **NOT restricted** to *randomized trials* and *meta-analyses*.
- If no RCT has been carried out for our patient's predicament, we must follow the trail to the **next best external evidence** and work from there.

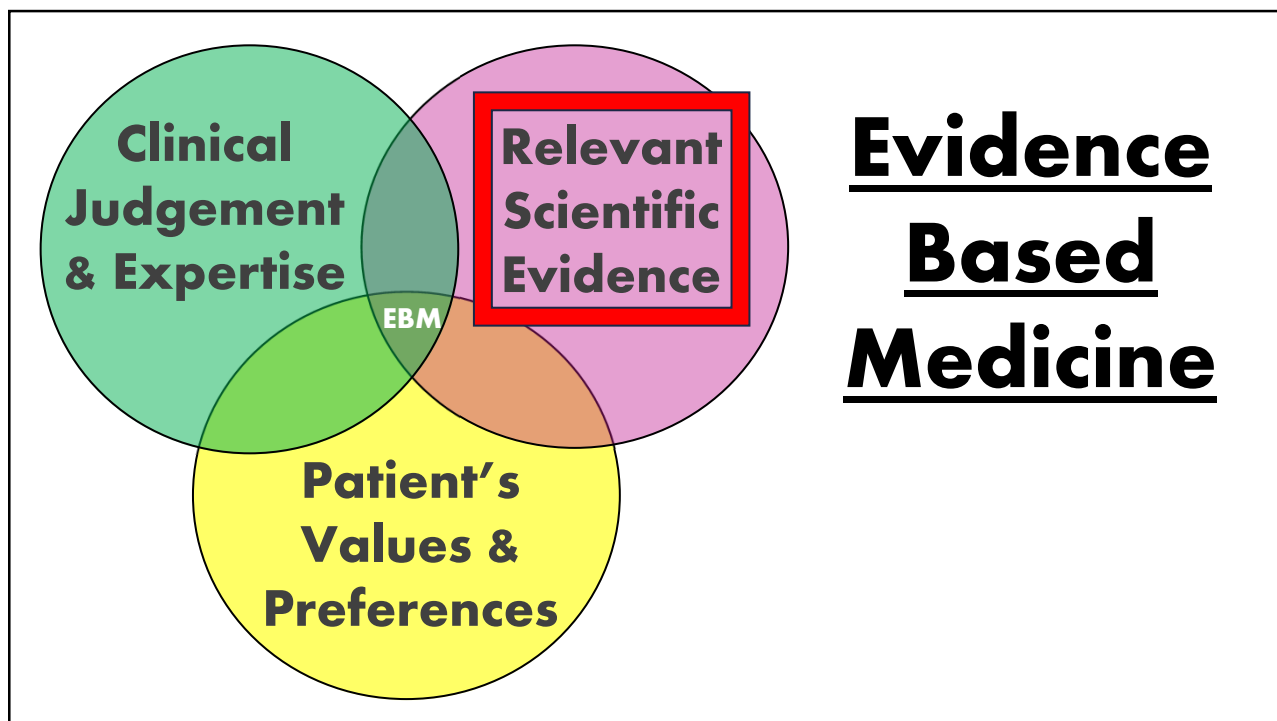
80



81



82



83

**Is everything that  
orthodontists do**

**RESEARCH**

**BACKED BY RCTs?**

84

- **Establishment of Class I occlusion?**
- **Cephalometric measurements?**
  - **Age to initiate treatment?**
- **Interproximal reduction (IPR)?**
  - **Bracket Rx?**
    - **Self-ligating brackets?**
  - **Archwire size/sequence?**
  - **Clear aligners pre-2015?**

85

- 
- **Establishment of Class I occlusion?**
  - **Cephalometric measurements?**
    - **Age to initiate treatment?**
  - **Interproximal reduction (IPR)?**
    - **Bracket Rx?**
      - **Self-ligating brackets?**
    - **Archwire size/sequence?**
    - **Clear aligners pre-2015?**

86

**Most of what  
orthodontists do daily  
is **not supported** by  
high-quality RCTs or  
meta-analyses.**

87

**Why is early,  
airway-focused  
treatment  
**held to a different  
standard?****

88



- **Early, interceptive orthodontic and dentofacial orthopedic treatment is absolutely evidence-based.**

89

## **Common Misunderstanding**

- **Orthodontic extraction of premolar teeth has no impact on airway.**

90

SPECIAL ARTICLE AJO-DO

Sleep-disordered breathing and orthodontics: An American Association of Orthodontists white paper update

Juan Martin Palomo,<sup>1</sup> Julia Cohen-Levy,<sup>1</sup> Carlos Flores-Mir,<sup>2,3</sup> Rooz Khooravi,<sup>4</sup> Mitchell Levine,<sup>1</sup> Michael Pickard,<sup>5</sup> Jackie Hittner,<sup>6</sup> John Callahan,<sup>1</sup> and Steven M. Siegel<sup>1,7</sup>  
Cleveland, Ohio, and Quebec and Alberta, Canada, and Seattle, Wash, and Chapel Hill, NC, and Moscow, Idaho, and Saint Louis, Mo, and Baltimore, Md

**“Evidence on the effect of extractions on oral cavity dimensions is mixed, with no evidence to support a causal relationship between extractions and the development of SDB.”<sup>52</sup>**

**Extraction of premolars in orthodontic treatment does not negatively affect upper airway volume and minimum cross-sectional area: a systematic review with meta-analysis** 52

European Journal of Orthodontics, 2025, 47, cja1012  
<https://doi.org/10.1093/ejo/cja1012>

Spyridon N. Papageorgiou<sup>1,\*</sup>, Maria Zyli<sup>2</sup>, Alexandra K. Papadopoulou<sup>2,3</sup>

- This article uses CBCT imaging to evaluate pharyngeal airway volume and cross-sectional area.
- The AJODO authors say, *“Imaging of the upper airway using CBCT or lateral cephalograms has no diagnostic value for SDB risk assessment or diagnosis.”*

91

SPECIAL ARTICLE AJO-DO

Sleep-disordered breathing and orthodontics: An American Association of Orthodontists white paper update

Juan Martin Palomo,<sup>1</sup> Julia Cohen-Levy,<sup>1</sup> Carlos Flores-Mir,<sup>2,3</sup> Rooz Khooravi,<sup>4</sup> Mitchell Levine,<sup>1</sup> Michael Pickard,<sup>5</sup> Jackie Hittner,<sup>6</sup> John Callahan,<sup>1</sup> and Steven M. Siegel<sup>1,7</sup>  
Cleveland, Ohio, and Quebec and Alberta, Canada, and Seattle, Wash, and Chapel Hill, NC, and Moscow, Idaho, and Saint Louis, Mo, and Baltimore, Md

**“After a review of extensive epidemiologic assessments, it is concluded that no substantive evidence supports a causal relationship between orthodontic extractions and airway obstruction.”<sup>53</sup>**

**Evidence Supports No Relationship between Obstructive Sleep Apnea and Premolar Extraction: An Electronic Health Records Review** 53

Ann J. Larsen, DDS, MS<sup>1</sup>; D. Brad Rindal, DDS<sup>2</sup>; John P. Hatch, PhD<sup>1</sup>; Sheryl Kane, BS<sup>2</sup>; Stephen E. Asche, MA<sup>2</sup>; Chris Carvalho, BS<sup>3</sup>; John Rugh, PhD<sup>1</sup>  
Journal of Clinical Sleep Medicine, Vol. 11, No. 12, 2015

➤ **Many cite this article as proof that extraction of PMs for orthodontic purposes does NOT impact airway.**

92

53

## Evidence Supports No Relationship between Obstructive Sleep Apnea and Premolar Extraction: An Electronic Health Records Review

Ann J. Larsen, DDS, MS<sup>1</sup>; D. Brad Rindal, DDS<sup>2</sup>; John P. Hatch, PhD<sup>1</sup>; Sheryl Kane, BS<sup>2</sup>; Stephen E. Asche, MA<sup>2</sup>; Chris Carvalho, BS<sup>3</sup>; John Rugh, PhD<sup>1</sup>

Journal of Clinical Sleep Medicine, Vol. 11, No. 12, 2015

- **Looked at a sample of 5,584 patients**
- **Conclusion:**
  - ***“The absence of four premolars (one from each quadrant), and therefore a presumed indicator of past extraction orthodontic treatment, is not supported as a significant factor in the cause of OSA.”***

93

53

## Evidence Supports No Relationship between Obstructive Sleep Apnea and Premolar Extraction: An Electronic Health Records Review

Ann J. Larsen, DDS, MS<sup>1</sup>; D. Brad Rindal, DDS<sup>2</sup>; John P. Hatch, PhD<sup>1</sup>; Sheryl Kane, BS<sup>2</sup>; Stephen E. Asche, MA<sup>2</sup>; Chris Carvalho, BS<sup>3</sup>; John Rugh, PhD<sup>1</sup>

Journal of Clinical Sleep Medicine, Vol. 11, No. 12, 2015

- **Looked at a sample of 5,584 patients**
- **Conclusion:**
  - ***“The absence of four premolars (one from each quadrant), and therefore a **presumed indicator of past extraction orthodontic treatment**, is not supported as a significant factor in the cause of OSA.”***

94

**Larsen Article**

**It's a retrospective study, not a RCT.**

**History of previous orthodontic treatment was NOT part of the inclusion criteria!**

**No confirmation that missing PMs was due to orthodontic treatment!**

**No evaluation of imaging, mechanics, incisor retraction, tongue space changes, etc. between the two groups of subjects.**

**Figure 1—Method used to generate the study sample.**

```
graph TD; A[Members with medical coverage and a dental encounter 2008-2012] --> B[Insured members with dental encounters and not excluded]; B --> C[Insured members with dental encounters and missing premolars]; B --> D[Insured members with dental encounters and not missing premolars]; C --> E[Members with missing and not missing premolars matched on age, gender and BMI]; D --> E; E --> F[Add OSA diagnosis from medical encounters]; F --> G[Study eligible population n = 5,584];
```

95

Journal of Clinical Sleep Medicine

pii: jc-00080-15  
<http://dx.doi.org/10.5664/jcsm.5284>

## Evidence Supports No Relationship between Airway Obstruction and Premolar Extraction: An Electronic Health Records Review

Ann J. Larsen, DDS, MS<sup>1</sup>; D. Brad Hatch, PhD<sup>2</sup>; Sheela S. Asche, MA<sup>3</sup>; Chris Carvalho, BS<sup>3</sup>; John Rugh, PhD<sup>3</sup>

<sup>1</sup>The University of Texas Health Science Center at San Antonio, San Antonio, TX; <sup>2</sup>HealthPartners Institute for Education and Research, Minneapolis, MN; <sup>3</sup>HealthPartners School of Dentistry, Minneapolis, MN

**Objective:** A controversy exists concerning the relationship between the anatomical position of the anterior teeth and obstructive sleep apnea (OSA). It has been speculated that extraction of the anterior teeth contributes to tongue retraction and decreasing airway space. This retrospective study utilized electronic medical records to examine the association between premolar extractions and OSA.

**Methods:** A retrospective review of electronic health records (n = 5,584) was obtained from the electronic health records of HealthPartners. The subjects (n = 2,792) had one missing premolar in each quadrant. The other half had no missing premolars. Cases and controls were paired in a 1:1 match on age, gender, and body mass index (BMI) range. The outcome was the presence or absence of a diagnosis of OSA confirmed by polysomnography.

**Conclusion:** The presence of missing premolars (one from each quadrant) and a history of past extraction orthodontic treatment was not a significant factor in the causation of OSA. OSA was not significantly different between the groups (p = 0.144).

**Keywords:** obstructive sleep apnea, polysomnogram, orthodontic treatment.

**Citation:** Larsen AJ, Rindal DB, Hatch D, SE, Carvalho C, Rugh J. Evidence supports no relationship between obstructive sleep apnea and premolar extraction: an electronic health records review. *J Clin Sleep Med* 2015;11(12):1443-1448.

96



97

**Extractions don't**  
**CAUSE the**  
**airway**  
**problem...**

98

...they  
**IGNORE IT**

99

***The etiology  
of crowding  
is almost  
never  
“big teeth.”***



100

***It's small  
jaws!***



101



- **Orthodontic extraction of premolar teeth treats the symptom and ignores the underlying etiology.**

102



103



104



105



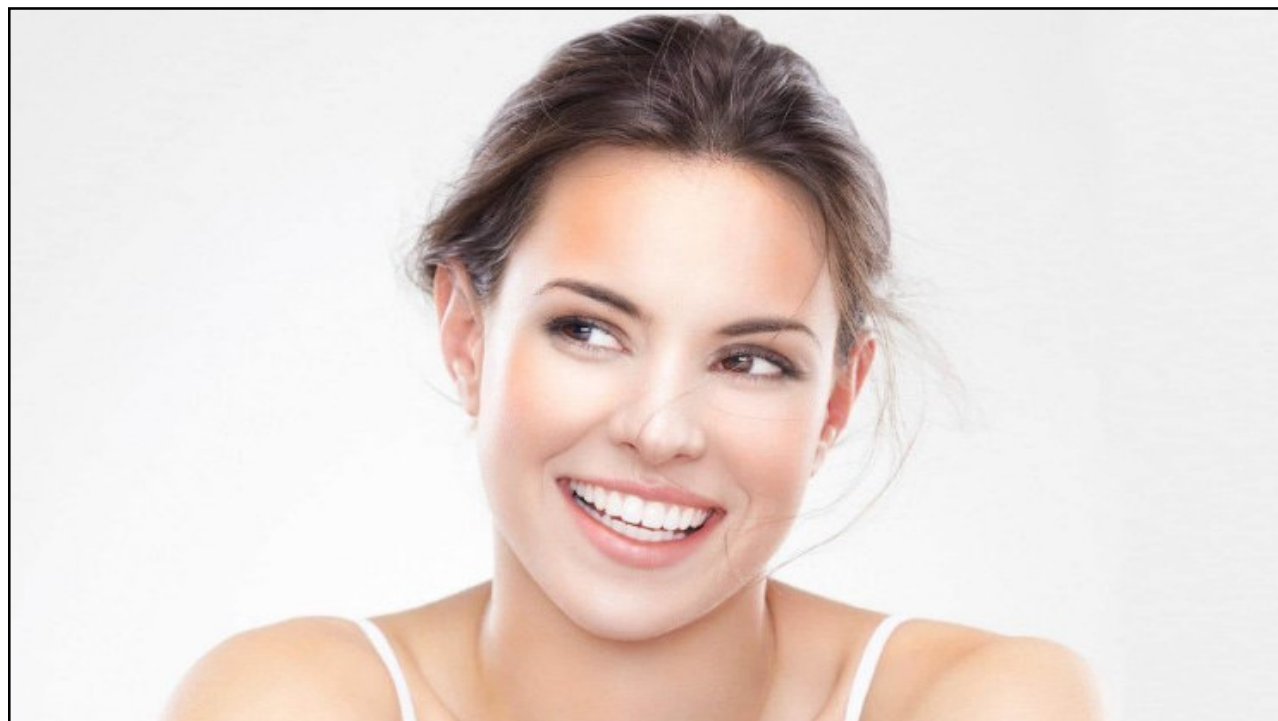
106

# Dentofacial Orthopedics

***We can help  
normalize  
craniofacial  
G&D at an  
early age!***



107



108



109

A promotional graphic for "THE DOC PODCAST" featuring Dr. Mike Deluke. On the left, the text "THE DOC PODCAST" is in large blue letters. Below it are the logos for Apple Podcasts (purple) and Spotify (green). Further down, it says "WITH DR. MIKE DELUKE DDS, MDS". On the right is a photograph of Dr. Mike Deluke, a man in a blue polo shirt and grey pants, smiling with his arms crossed. To the right of the photo is a large QR code with the "DC" logo in the center. Below the QR code are the YouTube and Instagram logos, with the text "@TheOrthoCoach" underneath.

110



Sleep Education Consortium (SEC) partners with Learner+, a clinician-centric reflective learning platform that rewards CME/CE credits to busy clinicians anytime and anywhere learning happens. Learn more about how you can reflect to unlock credits below. [View CME Credit Info](#)

**REFLECT NOW**

<https://champions.learner.plus/sec/>

**Mouth breathing in children while asleep**

**What inspired you to reflect?**

Pick the context and a clinically relevant concept or phrase that inspired you to reflect.

Reflective Learning Moment

Mouth breathing in children while asleep

Step 1 of 4

**Next**

111