

# From the Nose to Tongue-Base

## *Beyond DISE for Inspire Candidacy*

**Stanley Y.C. Liu, MD, DDS, FACS**

Associate Professor and Chair of OMS  
Assistant Dean of Hospital Affairs  
Nova Southeastern University  
Director, NSU Breathe and Sleep Wellness Center

*Co-author, Updated Stanford (Riley-Powell) Sleep Surgery Algorithm*

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**ACKNOWLEDGMENT**



## Jerald H. Simmons, MD

*Host, Sleep Education Consortium  
Founding Director, Comprehensive Sleep Medicine Associates*

With gratitude to Dr. Simmons for hosting SEC 2026 and for welcoming this overnight visit to the Comprehensive Sleep Medicine Associates sleep lab in Houston.

**A SHARED STANFORD LINEAGE**

Both trained at Stanford under William C. Dement and Christian Guilleminault. The continuity of that mentorship now carries forward through collaborative education for the next generation of sleep clinicians and technologists.

*With the CSMA sleep technology team, Sleepless in Houston*

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# Stanley Liu

MD · DDS · FACS

## Surgeon · Innovator · Educator

Restoring sleep breathing health as the gateway to wellness

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**EDUCATION**

**Stanford University**  
B.S., Biology

**UCSF**  
D.D.S. & M.D.

**NIH / HHMI**  
Medical Scholar, Cloister

**UCSF**  
Residency  
General Surgery & OMFS

**Stanford University**  
Fellowship, ENT Sleep Surgery  
Biodesign Faculty Fellow

**POSITIONS**

*Former*

**Stanford University**  
Assoc. Professor, ENT  
Sleep Surgery Fellowship Dir.

*Current*

**Nova Southeastern University**  
Chair & Assoc. Professor, OMFS  
Director, Breathe & Sleep Wellness Ctr

**LEADERSHIP**

**Global Initiative for OSA**  
Deputy President

**World Dentofacial Sleep Society**  
Founding Secretary


**ADVISORY**


**World Sleep Society**  
Scientific Committee

**HelloSmile H.K. NGO**  
Co-Director


**Children's Airway First Foundation**  
Advisor

**CONNECT**

 [dr.stanleyliu](#)

 [drstanleyliu](#)

[www.drstanleyliu.com](http://www.drstanleyliu.com)



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### 120+

Peer-Reviewed Publications

### Keynote

APSS 2019 · CSSM 2019 · World Sleep 2023

### FACS

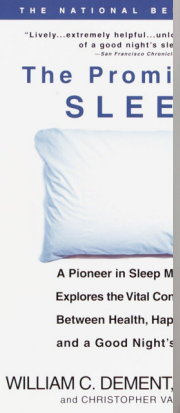
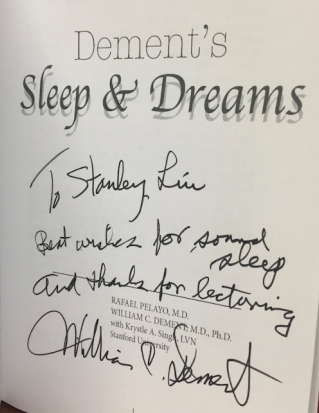
Fellow, American College of Surgeons


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## As Late As 1970, the Practice of Medicine Ended When the Patient Fell Asleep

Dr. William C. Dement (1928-2020) and Dr. Christian Guilleminault (1938-2019)

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Dr. William C. Dement  
1928 - 2020

Dr. Christian Guilleminault  
1938 - 2019

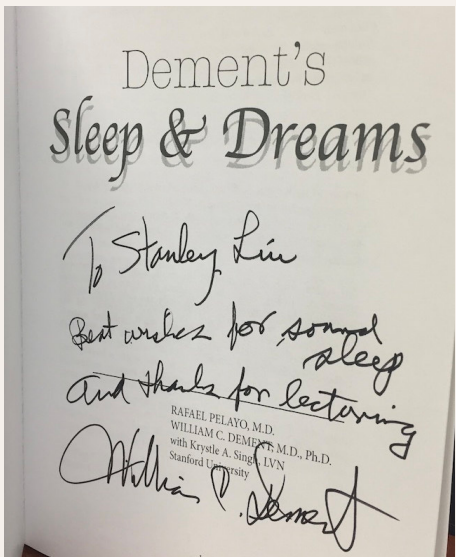
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## Sleep and Dreams: A Personal Connection

"To Stanley Liu, best wishes for sound sleep and thanks for lecturing" — William C. Dement, MD, PhD



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## How Did We Fit In With OSA at Stanford?

The origins of sleep surgery, 1979

The sleep center in 1979 was on the second story and the Otolaryngology Clinic was below.

**Dr. Guillemainault** and **Dr. Dement** were interested in Bob Riley and I since we both had Maxillofacial and Dental experience. They were convinced that children and adults needed a more aggressive approach OSAS than **weight loss** or a **tracheotomy**.

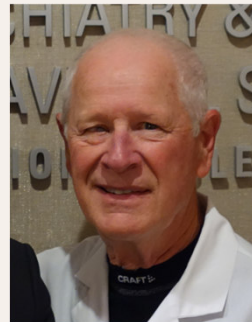
Christian Guillemainault, MD, DPhil



Robert W. Riley, DDS, MD



Nelson Powell, DDS, MD



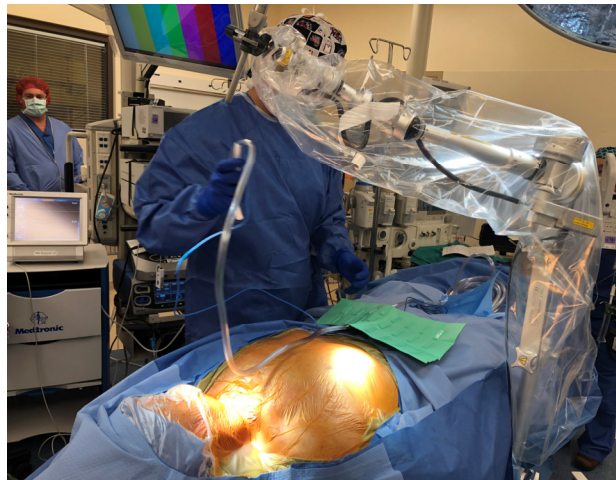
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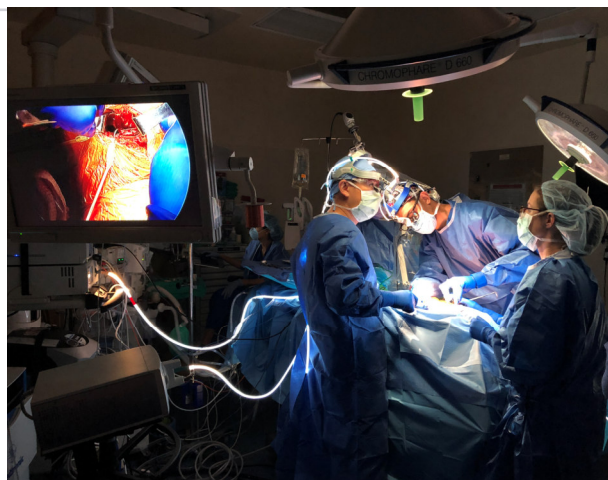
OPERATIVE EXPERIENCE

# Hypoglossal Nerve Stimulation

Microsurgical exposure and team-based implant workflow



Microsurgical exposure under loupe magnification



Team approach with intraoperative video monitoring

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UPPER AIRWAY STIMULATION · INSPIRE

# Inspire™ Upper Airway Stimulation

U.S. indications expanded to AHI ≤100 and BMI ≤40

FDA PMA P130008 / S090 · APPROVED JUNE 8, 2023

CRITERION	REQUIREMENT	NOTE
AHI	15 ≤ AHI ≤ 100	Moderate to severe OSA (expanded from ≤65)
BMI	≤ 40 kg/m <sup>2</sup>	Expanded from ≤32 with S090 approval
Age	≥ 22 years	Ages 18-21 eligible with same AHI range
Central apneas	< 25% of AHI	Predominantly obstructive events required
PAP status	Failed or intolerant	Per CPAP / BiPAP clinical criteria
DISE	No CCC at velum	Complete concentric collapse contraindicated
Pediatric (Down syn.)	Ages 13-18, 10 ≤ AHI ≤ 50	Adenotonsillectomy not an option

**Inspire V (August 2024):** next-gen system with respiratory sensing integrated into the pulse generator. Same clinical indications. No separate sensing lead.

FDA, Inspire Upper Airway Stimulation P130008/S090, June 8, 2023. Accessed April 19, 2026. · Inspire Medical Systems

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INSPIRE™ · MECHANISM

## How Inspire™ Works

Three defining design choices

**01**

### Unilateral Stimulation

Cuff electrode wraps the right hypoglossal nerve. Stimulation advances the tongue anteriorly with each inspiratory pulse, opening the retroglossal and retropalatal airway.

**02**

### Inspiratory Sensing

Pressure-sensing lead in the intercostal space detects each inhalation. Stimulation fires in sync with breathing, reducing habituation and preserving physiologic drive.

**03**

### Implanted Battery

Non-rechargeable pulse generator in the right subclavicular pocket. Patient activates the device via handheld remote before sleep. Battery lasts 7-11 years, then replaced.

**KEY INSIGHT** Inspire established HGNS as a viable pathway for CPAP-failure OSA, built on respiration-synchronized unilateral stimulation.

Strollo et al. *NEJM* 2014; 370: 139-149 (STAR trial). · Inspire Medical Systems Stanley Y.C. Liu, MD, DDS, FACS

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UPPER AIRWAY STIMULATION · GENIO

## Genio™ Hypoglossal Nerve Stimulation

Bilateral stimulation · leadless implant · externally powered by a wearable

FDA PMA P240024 · APPROVED AUGUST 8, 2025

CRITERION	REQUIREMENT	NOTE
<b>AHI</b>	15 ≤ AHI ≤ 65	Moderate to severe OSA
<b>BMI</b>	≤ 32 kg/m <sup>2</sup>	Per DREAM trial inclusion criteria
<b>Age</b>	Adult	DREAM enrolled adults only
<b>PAP status</b>	Failed / refused / intolerant	CPAP or equivalent
<b>DISE</b>	No CCC at soft palate	CCC not formally contraindicated in U.S. label
<b>MRI</b>	Full-body 1.5T and 3T	Leadless, battery-free implant

FDA, Genio System PMA P240024, August 8, 2025. Accessed April 19, 2026. · Nyxoah SA · DREAM pivotal trial (NCT03868618) Stanley Y.C. Liu, MD, DDS, FACS

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GENIO™ SYSTEM · MECHANISM

## How the Genio™ System Works

Three defining design choices

**01**  
**Bilateral Stimulation**

Paddle electrodes straddle both left and right distal hypoglossal nerve branches at the genioglossus insertion. Symmetric tongue protrusion improves airway patency.

**02**  
**Minimal Implant**

Single battery-free stimulation unit implanted via one submental incision. No leads, no tunneling, no implanted battery. External activation unit worn under chin during sleep.

**03**  
**No Sensing Leads**

Predetermined adjustable rate and duty cycle. Stimulation at ~70% of respiratory cycle. No inspiratory synchronization required. Simplifies implant and reduces failure points.

**KEY INSIGHT** Genio eliminates what Inspire requires: sensing lead, implanted battery, and inspiratory synchronization.

Eastwood et al. *Eur Respir J* 2020; 55: 1901320 (BLAST OSA). · Nyxoah SA

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GENIO™ SYSTEM · SURGICAL APPROACH

## Surgical & Patient Considerations

BLAST OSA eligibility criteria and operative technique

**ELIGIBILITY · BLAST OSA**

- Age 21-75 years
- BMI ≤ 32 kg/m<sup>2</sup>
- AHI 20-60 events/h (obstructive)
- Central + mixed AHI < 10
- No positional OSA
- No concentric collapse (DISE)
- Failed / refused PAP therapy

**SURGICAL PROCEDURE**

- Single midline submental incision
- Dissect platysma, mylohyoid, geniohyoid to genioglossus
- Identify bilateral hypoglossal nerve branches
- Nerve integrity monitor for positioning
- Stimulator sutured in place over both nerves
- Activated 4-6 weeks post-implant
- Titration over 2-4 months

Eastwood et al. *Eur Respir J* 2020; 55: 1901320 (BLAST OSA)

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GENIO™ SYSTEM · CLINICAL EVIDENCE

## BLAST OSA: 6-Month Outcomes

Prospective, open-label · 8 centers, 3 countries · n = 22

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<b>23.7 → 12.9</b>	<b>19.1 → 9.8</b>	<b>11.0 → 8.0</b>	<b>50%</b>
AHI (events/h)	ODI (events/h)	ESS	Responder rate
<i>p &lt; 0.0001</i>	<i>p &lt; 0.0001</i>	<i>p = 0.01</i>	<i>AHI ≥ 50% ↓ and &lt; 20</i>

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**ADDITIONAL OUTCOMES**

- Sleep efficiency improved (84% → 87%)
- Arousal index decreased (28.7 → 16.0 events/h, *p < 0.001*)
- Bed partners reporting loud snoring: 96% → 35%
- 91% used device >5 days/week, 77% used >5 h/night
- No device-related serious adverse events

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Eastwood et al. *Eur Respir J* 2020; 55: 1901320 Stanley Y.C. Liu, MD, DDS, FACS

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GENIO™ SYSTEM · PIVOTAL TRIAL

## DREAM Pivotal Trial

n = 115 · basis for U.S. FDA PMA approval (August 2025)

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<b>70.8%</b>	<b>63.5%</b>	<b>66.6%</b>	<b>82%</b>
Median AHI reduction	AHI responder rate (Sher criteria)	Supine AHI reduction	Reached final AHI < 15

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**WHY IT MATTERS**

DREAM is the largest prospective trial of bilateral HGN stimulation to date and the basis for the Genio™ System's U.S. PMA approval (P240024).  
*Unlike Inspire, Genio achieves these outcomes without a sensing lead, an implanted battery, or inspiratory synchronization.*

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DREAM pivotal trial (NCT03868618) · Nyxoah SA · FDA PMA P240024 (August 2025) Stanley Y.C. Liu, MD, DDS, FACS

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HYPOGLOSSAL NERVE STIMULATION · DEVICE COMPARISON

## Inspire vs Genio™: Head-to-Head

*Design, surgical footprint, and clinical outcomes*

FEATURE	INSPIRE (STIMULATION THERAPY)	GENIO™ (NYXOAH)
Stimulation	Unilateral	Bilateral
Electrode type	Cuff electrode around HN	Paddle electrode over HN branches
Sensing lead	Yes (intercostal)	No
Implanted battery	Yes (non-rechargeable)	No (external activation)
Incisions	3 (submandibular, chest x2)	1 (submental)
Synchronization	Inspiratory-triggered	Preset rate & duty cycle
CCC exclusion	Yes	Yes
BMI limit	≤ 40 kg/m <sup>2</sup> (S090)	≤ 32 kg/m <sup>2</sup>
AHI reduction	~68% (STAR trial)	~47% (BLAST OSA) · 70.8% (DREAM)

Sources: Strollo et al. *NEJM* 2014 (STAR) · Eastwood et al. *Eur Respir J* 2020 (BLAST OSA) · DREAM pivotal trial (2025) Stanley Y.C. Liu, MD, DDS, FACS

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CLINICAL TAKEAWAYS

## The Bottom Line

*Hypoglossal nerve stimulation in 2026*

**01 Two FDA-approved pathways**

Inspire since 2014 (expanded 2023: AHI ≤100, BMI ≤40).  
Genio since August 2025 (AHI ≤65, BMI ≤32, bilateral).

**02 Design philosophies diverge**

Genio eliminates what Inspire requires: sensing lead, implanted battery, inspiratory synchronization. One submental incision vs three.

**03 Efficacy is comparable**

STAR ~68% AHI reduction, DREAM 70.8% median reduction. Both require no CCC on DISE. Both deliver responder rates ~60-66%.

**04 Selection over substitution**

Choose by anatomy, BMI, MRI need, surgical experience, and patient preference. Head-to-head trial data will refine selection.

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## Hypoglossal Nerve Stimulation: Surgical Technique

### A Stimulation Lead Cuff

Surrounds hypoglossal nerve medial branches supplying tongue protrusors

### B Implantable Pulse Generator

Infraclavicular pocket, 5 cm incision anchored to pectoralis fascia

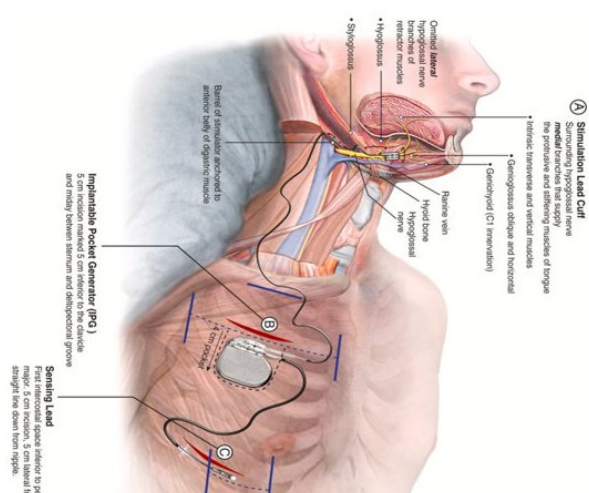
### C Sensing Lead

Intercostal placement detecting respiratory effort for synchronized stimulation



#### Chapter Source

*Atlas of Oral and Maxillofacial Surgery*  
Kademani D, Tiwana P.  
Saunders; 2015.

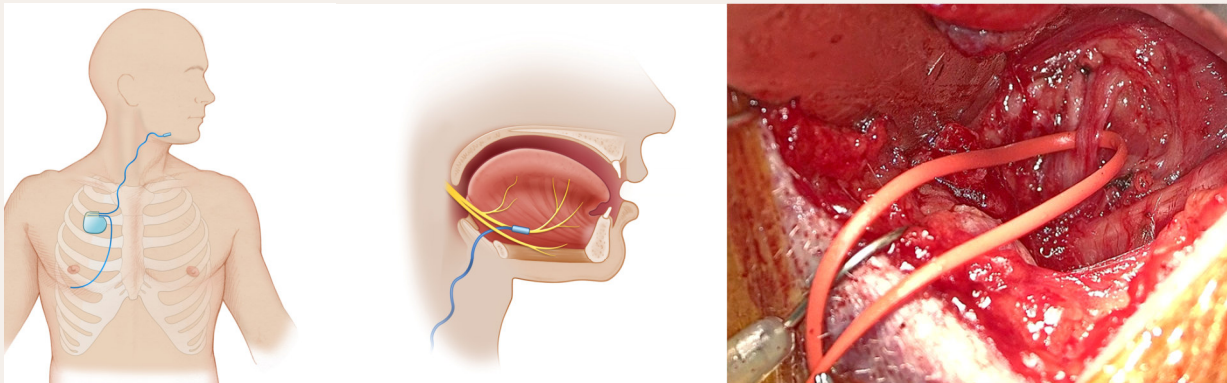


Liu SY. Hypoglossal Nerve Stimulation. In Kademani D, Tiwana P. *Atlas of Oral and Maxillofacial Surgery*. Saunders; 2015.

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## Hypoglossal Nerve Stimulation: Device & Surgical Anatomy



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## Coupling of Tongue & Upper Airway Muscles



Yu MS, Ibrahim B, Riley RW, Liu SY. Maxillomandibular Advancement and Upper Airway Stimulation: Extrapharyngeal Surgery for Obstructive Sleep Apnea. *Clin Exp Otorhinolaryngol.* 2020 Aug;13(3):225-233.

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1987

## Powell-Riley (Stanford) Sleep Surgery Approach

### Presurgical Evaluation

Physical Exam, Cephalometric Analysis, Fiberoptic Pharyngoscopy

### Phase I Sites of Obstruction

#### UPPP

Type 1: Oropharynx

#### UPPP + GAHM

Type 2: Oropharynx + Hypopharynx

#### GAHM

Type 3: Hypopharynx

Post-Operative Polysomnogram (6 months)

If failure → Phase II

### Phase II

Maxillomandibular Osteotomies (MMO)

Riley RW, Powell N, Guilleminault C. *Current surgical concepts for treating obstructive sleep apnea syndrome.* J Oral Maxillofac Surg. 1987 Feb;45(2):149-57.


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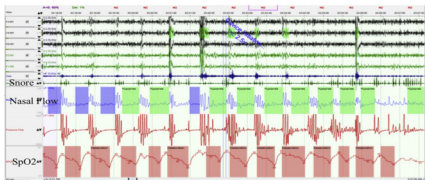
STANFORD PROTOCOL · FIRST CONTINUATION

# When Phase 2 Relapses

A 16-year clinical pathway: from maxillomandibular advancement to hypoglossal stimulation



Stanford team, protocol era



Pre-UAS PSG: snoring, hypopneas, SpO<sub>2</sub> desaturations (Fig 3)

**THE PATIENT JOURNEY**

**2001** age 49  
**GGA + MMA at Stanford**  
Counterclockwise rotation, single-splint, maxilla-first approach (Riley) **AHI 4.5 events/h**

**2015** age 60  
**OSA relapse**  
Septoplasty, UPF, and tongue base RF brought only temporary relief **AHI 19 → 21.8 events/h**

**2016** age 65  
**UAS evaluation**  
DISE: no CCC at velum. VOTE V=0, O=0, T=2, E=1. CPAP intolerant **UAS candidate**


**KEY INSIGHT** MMA stabilizes the velum and lateral pharyngeal wall, preserving the exact anatomy UAS requires 15 years later.

**Liu SY, Riley RW. J Oral Maxillofac Surg 2017;75(7):1514-1518.** · Continuing the Stanford Sleep Surgery Protocol from Upper Airway Reconstruction to Upper Airway Stimulation. Stanley Y.C. Liu, MD, DDS, FACS

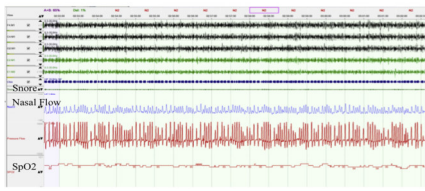
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STANFORD PROTOCOL · FIRST CONTINUATION

# From MMA to Hypoglossal Stimulation



Liu with Riley, his Stanford mentor



Post-UAS PSG: resolution of events, stable SpO<sub>2</sub> (Fig 4)

**POST-UAS TITRATION**

**1**

AHI lateral (events/h)

**0**

AHI other positions

**6**

ESS (from ≥10)

**3.2V**

Final titrated amplitude

**SURGICAL TECHNIQUE**

- 3 incisions: neck (stimulation lead), upper chest (IPG), intercostal (sensor lead)
- Modified Risdon approach, anterior to submandibular gland
- NIM-guided selection of medial protrusor branches only; C1 retrusor branch excluded
- Respiratory coupling confirmed intraoperatively with tongue protrusion

**PIVOTAL** First published case continuing the Riley-Powell Stanford protocol into the UAS era. Phase 1 → Phase 2 → Hypoglossal Stimulation.

**Liu SY, Riley RW. J Oral Maxillofac Surg 2017;75(7):1514-1518.** Stanley Y.C. Liu, MD, DDS, FACS

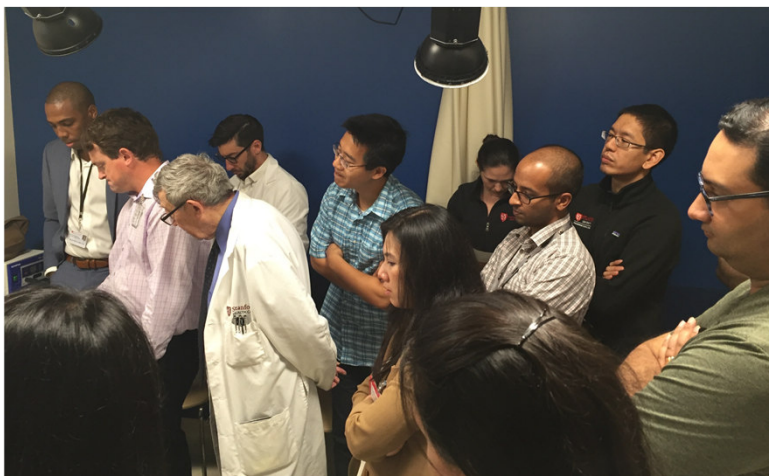
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A MEMORABLE MOMENT

STANFORD SLEEP SURGERY CLINIC · CIRCA 2015

## The First Activation

Dr. Christian Guilleminault brings the Stanford sleep medicine fellows to the bedside for the first upper airway stimulation activation.



A quiet moment marking the integration of hypoglossal nerve stimulation into the Stanford Sleep Surgery Algorithm.

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STANFORD PROTOCOL · CLINICAL CASE

## MMA Outcomes in Severe OSA

Clinical case: 65-year-old man, BMI 29.6, baseline AHI 145



3D CBCT after MMA, fixation hardware visible

PATIENT PROFILE

**65**

years

**29.6**

BMI

**145**

AHI (pre)

**83%**

nadir SpO<sub>2</sub>

PHASE 2 · MMA OUTCOME

**AHI 145 → 28**

**80.7% reduction** · still moderate residual OSA

**KEY INSIGHT** MMA delivered 80% AHI reduction in extraordinarily severe OSA. Residual moderate disease remained: the stage for continuation.

Unpublished clinical case · Stanford protocol continuation · De-ID: STAN 0045

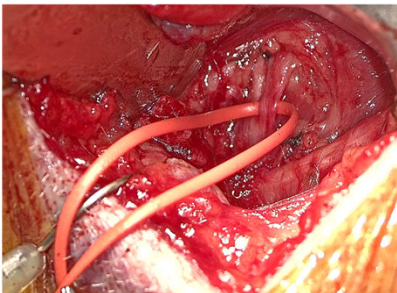
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STANFORD PROTOCOL · CLINICAL CASE (CONT.)

## Adjunctive HGNS After MMA

Long-term therapy outcomes and stimulation parameters



**UAS OUTCOME**  
**AHI 28 → 4**  
86% UAS-stage reduction · final AHI approaches cure

**LONG-TERM COMPLIANCE**  
**6,703+**  
hours of documented therapy

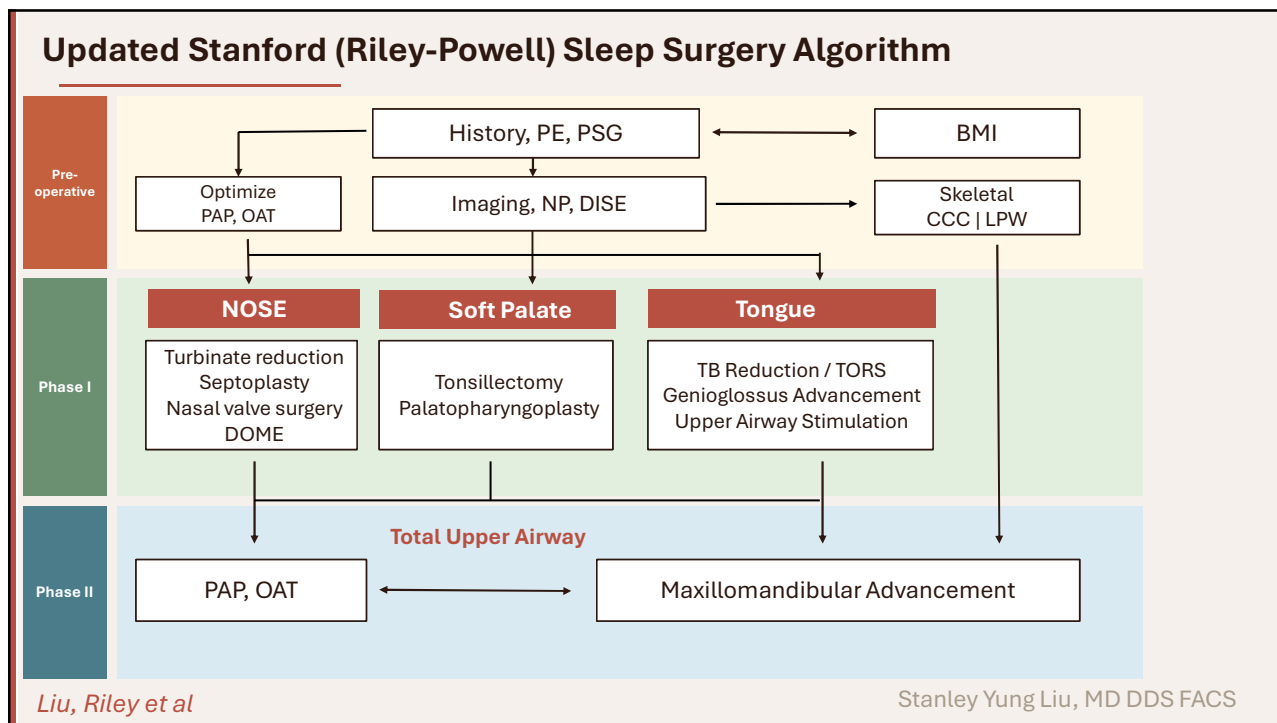
**SETTINGS (STABLE)**  
Amplitude **2.2 V**  
Rate **33 Hz**  
Pulse width **90 μs**  
Duration **8 h/night**

*Stimulator cuff on hypoglossal nerve, post-MMA*

**PIVOTAL** Phase 2 + Hypoglossal Stimulation: AHI 145 → 4. A 97% total reduction in AHI.

Unpublished clinical case · Stanford protocol continuation · De-ID: STAN 0045 Stanley Y.C. Liu, MD, DDS, FACS

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Liu, et al. Updated Stanford (Riley-Powell) Sleep Surgery Algorithm



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LANDMARK STUDY

STANFORD SLEEP SURGERY · LARYNGOSCOPE 2020

## Palatopharyngoplasty resolves concentric collapse in patients ineligible for upper airway stimulation

*A prospective single-blinded cohort study evaluating conversion of complete concentric collapse at the velum following tissue-preserving modified UPPP.*

**12/12**

CCC CONVERTED

100% of the cohort

**54 → 33**

MEAN AHI (events/h)

Paired t-test significant

**25%**

RESOLVED COMPLETELY

No velum collapse post-op

**100%**

UAS ELIGIBLE

Every patient qualified after PPP

**Clinical significance:** complete concentric collapse at the velum is a contraindication to upper airway stimulation. This study demonstrated that tissue-preserving modified palatopharyngoplasty can convert the collapse pattern and restore candidacy in this subgroup.

Liu SY, Hutz MJ, Poomkonsarn S, Chang CP, Awad M, Capasso R. *Laryngoscope.* 2020;130(12):E958-E962.

Stanley Y.C. Liu, MD, DDS, FACS

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**BACKGROUND**

## Prevalence and clinical significance of CCC

**~25%**  
OF UAS CANDIDATES  
demonstrate complete concentric collapse at the velum on preoperative DISE  
*Hasselbacher 2018 · Ong 2016 · Steffen 2015*

**MECHANISTIC CONSIDERATIONS**

**Tongue protrusion cannot solve a 360° collapse.**  
UAS stiffens and advances the tongue in the anteroposterior plane. CCC collapses the velum circumferentially. The forces do not align.

**Vanderveken 2013: no significant AHI reduction**  
in CCC patients who received UAS, establishing CCC as an exclusion criterion in the FDA label.

**The path forward was unclear.**  
Hasselbacher (2018) observed conversion after classical UPPP in a retrospective cohort, but no prospective data validated the approach, and no study had tested it on patients specifically excluded from UAS.

Liu SY, Hutz MJ, Poomkansarn S, Chang CP, Awad M, Capasso R. Laryngoscope. 2020;130(12):E958-E962. Stanley Y.C. Liu, MD, DDS, FACS

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**METHODS**

## Study design and inclusion criteria

*Primary outcome: conversion of concentric collapse at the velum on post-operative DISE. Secondary outcome: change in AHI.*

**METHODS**

<b>Design</b>	Prospective, single-blinded cohort
<b>Setting</b>	Stanford, October 2015 to February 2018
<b>Surgeons</b>	Two sleep surgeons (SYL, RC)
<b>DISE review</b>	Third blinded sleep surgeon
<b>Scoring</b>	VOTE classification
<b>Level of evidence</b>	1B

**INCLUSION CRITERIA**

<b>Age</b>	Adult (> 18 years)
<b>Diagnosis</b>	OSA with AHI > 15
<b>BMI</b>	< 32 kg/m <sup>2</sup> (STAR trial criteria)
<b>Central apneas</b>	< 25% of AHI
<b>DISE finding</b>	Complete concentric collapse at velum

**COHORT SNAPSHOT**

<b>N enrolled</b>	12 (9 male, 3 female)
<b>Mean age</b>	68.2 years (range 42 to 71)
<b>Mean BMI</b>	30.5 kg/m <sup>2</sup>
<b>Mean baseline AHI</b>	54.0 events/h (28 to 89)

Liu SY, Hutz MJ, Poomkansarn S, Chang CP, Awad M, Capasso R. Laryngoscope. 2020;130(12):E958-E962. Stanley Y.C. Liu, MD, DDS, FACS

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METHODS · INTERVENTION

## Surgical technique

*Tissue-preserving modified palatopharyngoplasty, as described by Awad et al., 2019.*

SUTURE PLACEMENT	TISSUE HANDLING	RATIONALE
Three to four 3-0 Vicryl sutures placed to tension palatopharyngeus, tensor veli palatini, and levator veli palatini.	Muscle tissue is preserved. Uvula trimmed to approximately 1 cm only where appropriate, not routinely amputated.	Preserves velopharyngeal function and reduces VPI risk. Converts collapse vector without ablating tissue that contributes to speech and swallow.

**Perioperative course.**  
All patients received concurrent tonsillectomy when indicated. Overnight airway monitoring. Discharge next day. Follow-up polysomnogram at a mean of 119 days (17 weeks). Patients with incomplete response returned for repeat DISE to confirm the new collapse pattern.

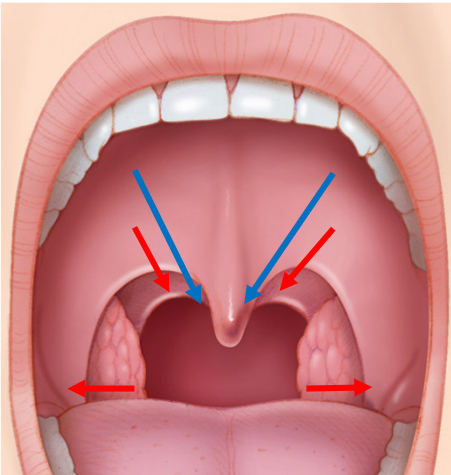
Liu SY, Hutz MJ, Poomkansarn S, Chang CP, Awad M, Capasso R. *Laryngoscope*. 2020;130(12):E958-E962. Stanley Y.C. Liu, MD, DDS, FACS

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SOFT PALATE & PHARYNGEAL SURGERY

## Preservation Pharyngoplasty

*Rationale & Anatomic Target*



**WHY PRESERVATION?**  
OSA airway narrows in the **lateral dimension** at the retropalatal region.  
*Schwab et al, 1995.*

Resecting UPPP risks **VPI, scar, bleeding.**  
UPF (Powell, 1996) preserves palatal muscles and uses oral-surface incisions.

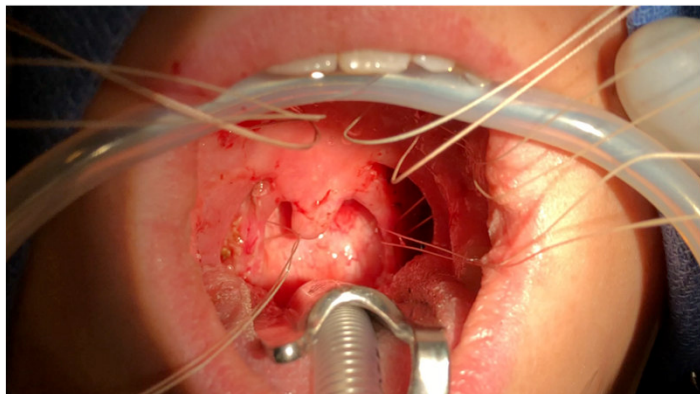
**TARGET**  
*Expand the lateral wall. Suspend the levator veli palatini. Preserve function.*

Awad M, ..., Liu SY. Tonsillectomy and Pharyngoplasty: Tissue-Preserving Techniques. *Atlas Oral Maxillofac Surg Clin North Am* 2019;27(1):17-22. Stanley Y.C. Liu, MD, DDS, FACS

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SOFT PALATE & PHARYNGEAL SURGERY

# Preservation Pharyngoplasty



### TECHNIQUE HIGHLIGHTS

- 3-0 Vicryl horizontal mattress x 3-4 per fossa at 45°
- 2-0 Vicryl hamulus-to-uvula stitch for A-P expansion

### OUTCOMES

**2/3**

meet surgical success criteria  
ODI 4% reduction ≥50%, AHI <20

**Preservation over resection.** Converts CCC to AP collapse for UAS eligibility.

Awad M, ..., Liu SY. Tonsillectomy and Pharyngoplasty: Tissue-Preserving Techniques. *Atlas Oral Maxillofac Surg Clin North Am* 2019;27(1):17-22.

Stanley Y.C. Liu, MD, DDS, FACS

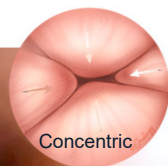
33

RESULTS · DISE FINDINGS

## Conversion of collapse pattern on post-operative DISE

Representative drug-induced sleep endoscopy images from the study cohort. Concentric pattern pre-operatively, anteroposterior or no collapse post-operatively.

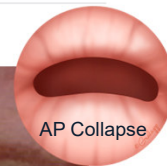
BEFORE UPPP



Concentric



AFTER UPPP



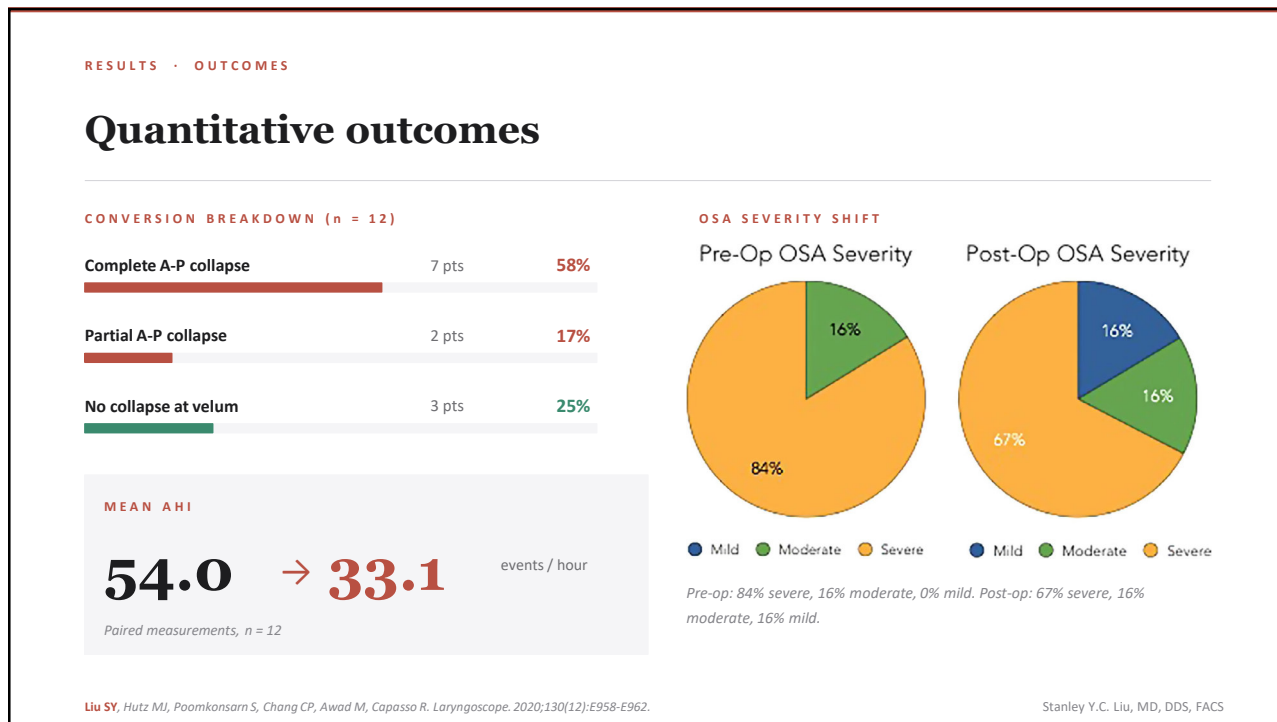
AP Collapse

**Conversion rate: 12 of 12 (100%).** Three patients showed no velum collapse post-operatively; nine showed anteroposterior collapse.

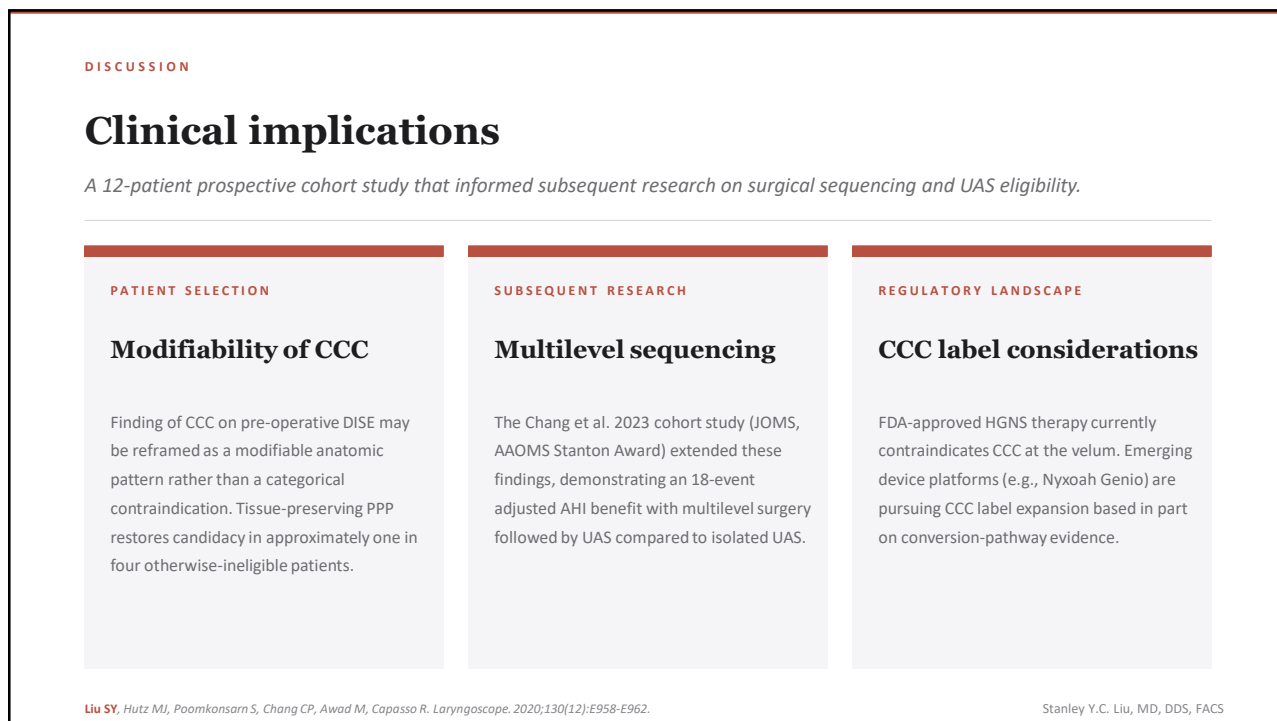
Liu SY, Hutz MJ, Poomkonsarn S, Chang CP, Awad M, Capasso R. *Laryngoscope*. 2020;130(12):E958-E962.

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**CASE REPORT**

## CO<sub>2</sub> laser lingual tonsil reduction for tongue discomfort during hypoglossal nerve stimulation

*A case report demonstrating restoration of HGNS therapy response following adjunctive lingual tonsil reduction in a patient with Grade 4 lingual tonsil hypertrophy.*

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<b>37.7 → 15.1</b>	<b>50%</b>	<b>3.2V → 2.0V</b>
<b>AHI events/h</b>	<b>Lingual tonsil reduction</b>	<b>Max tolerable amplitude</b>
60% reduction, Sher criteria met	CO <sub>2</sub> laser, direct laryngoscopy	Effective stimulation threshold

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**Clinical significance:** upper airway obstruction often involves multiple anatomic levels. When stimulation amplitude required for airway patency exceeds the arousal threshold, adjunctive surgical reduction of identified obstruction may restore device tolerance and therapeutic response.

Fontenot A, Liu SYC, Dewan K. J Clin Sleep Med. 2024;20(11):1857-1861. Stanley Y.C. Liu, MD, DDS, FACS

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**CASE PRESENTATION**

## Patient history and initial HGNS trial

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<p><b>BASELINE</b></p> <p><b>Age / Sex</b>            74 years, male</p> <p><b>BMI</b>                    24.96 (non-obese)</p> <p><b>AHI</b>                    37.7 events/h (moderate-severe)</p> <p><b>O<sub>2</sub> Nadir</b>            82%</p> <p><b>Prior Surgery</b>        Tonsillectomy</p> <p><b>CPAP Status</b>        Intolerant after 3 years</p> <p><b>DISE</b>                  AP velar collapse, no CCC</p> <p><b>Lingual Tonsil</b>        Grade 4 hypertrophy</p>	<p><b>PREOPERATIVE</b></p>  <p><i>Grade 4 lingual tonsil pushes the epiglottis anteriorly at rest.</i></p>	<p><b>INITIAL HGNS COURSE</b></p> <p><b>Eligibility met.</b> AHI in range, no CCC, BMI &lt; 32.</p> <p><b>At 2.2–3.2 V:</b> Adequate tongue protrusion but stimulation produced arousal from sleep.</p> <p><b>Reduced to 0.5 V:</b> Tolerable but therapeutically inadequate. Symptoms persisted.</p>
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Fontenot A, Liu SYC, Dewan K. J Clin Sleep Med. 2024;20(11):1857-1861. Stanley Y.C. Liu, MD, DDS, FACS

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**INTERVENTION**

## Surgical technique

*CO<sub>2</sub> laser reduction of the lingual tonsil under direct laryngoscopy with DISE and on-table HGNS re-titration.*

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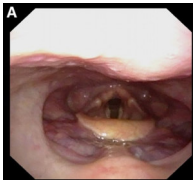
**TECHNICAL RATIONALE**

**Anatomic target.**  
Hypertrophic lingual tonsil contributed bulk superior to the BOT musculature. Moderate tongue protrusion was insufficient to expand oropharyngeal cross-sectional area in this configuration.

**Choice of CO<sub>2</sub> laser.**  
Lingual tonsil reduction has historically been associated with postoperative pain, hemorrhage, dysphagia, and dysgeusia. CO<sub>2</sub> laser offers precise tissue ablation with reduced morbidity.


**Intraoperative re-titration.**  
DISE with electrical analysis under anesthesia confirmed revised stimulation parameters prior to extubation.

**BEFORE**



Grade 4 lingual tonsil. Epiglottis pushed anteriorly. Midline and lateral space obscured.

**AFTER · 1 MONTH**



50% reduction. Well-healed base. Epiglottic frenulum and lingual surface now visible. No pain, bleeding, or dysphagia.

Fontenot A, Liu SYC, Dewan K. J Clin Sleep Med. 2024;20(11):1857-1861. Stanley Y.C. Liu, MD, DDS, FACS

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**OUTCOMES**

## Post-operative polysomnography and device performance

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**POLYSOMNOGRAPHY & DEVICE PERFORMANCE**

METRIC	BASELINE	POST-INTERVENTION	CHANGE
AHI (events/h)	37.7	15.1	-60%
O <sub>2</sub> Nadir	82%	87%	+5 pts
Tolerable Amplitude	>2.0 V awakened pt	0.8–2.0 V effective	Usable range
Nightly Device Use	<1 hour	All night	Restored
Sher Response	No	Yes	≥50% AHI drop

**Finding.** Tolerable stimulation amplitude decreased from >2.0 V (above arousal threshold) to 0.8–2.0 V. AHI fell from 37.7 to 15.1 events/h, meeting Sher criteria for surgical response.

Fontenot A, Liu SYC, Dewan K. J Clin Sleep Med. 2024;20(11):1857-1861. Stanley Y.C. Liu, MD, DDS, FACS

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**DISCUSSION**

## Clinical implications

*Considerations for management of HGNS non-responders with identifiable residual obstruction on repeat DISE.*

REPEAT DISE	PATIENT SELECTION	COMBINED THERAPY
Repeat drug-induced sleep endoscopy identifies residual anatomic obstruction in HGNS non-responders. In this case, lingual tonsil hypertrophy remained the limiting factor despite adequate tongue protrusion.	CO <sub>2</sub> laser lingual tonsil reduction may be considered in patients with Grade 4 lingual tonsil hypertrophy and normal swallow function. Preoperative swallow evaluation is recommended.	HGNS remains the primary therapy. Adjunctive surgical reduction addresses identified residual obstruction. This aligns with multilevel treatment principles described in the updated Stanford sleep surgery algorithm.

**First reported case** of lingual tonsil reduction enabling HGNS therapy response. Further investigation is warranted to define patient selection criteria and durability of outcomes.

Fontenot A, Liu SYC, Dewan K. J Clin Sleep Med. 2024;20(11):1857-1861. · Updated Stanford Algorithm: Liu65, 2020. Stanley Y.C. Liu, MD, DDS, FACS

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**LANDMARK STUDY** STANFORD SLEEP SURGERY · JOMS 2023

## Comparative efficacy of multilevel surgery followed by UAS versus isolated UAS

*A retrospective single-center cohort study of 35 adults with moderate-to-severe OSA undergoing UAS implantation from 2016 to 2019.*

<b>37.6</b>	<b>31.5</b>	<b>-18</b>	<b>1.8V</b>
AHI REDUCTION	AHI REDUCTION	ADJUSTED BENEFIT	MEAN AMPLITUDE
Multilevel + UAS (events/h)	UAS only (events/h)	Multilevel group, p < .001	Multilevel group at follow-up

**Clinical significance:** the first direct comparison of isolated UAS and multilevel surgery followed by UAS in patients with moderate-to-severe OSA, informing sequencing decisions for initially ineligible candidates.

Chang CP, Poomkonsarn S, Giannakopoulos H, Ma Y, Riley RW, Liu SY. J Oral Maxillofac Surg. 2023;81(5):557-565. · AAOMS Stanton Award. Stanley Y.C. Liu, MD, DDS, FACS

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**BACKGROUND**

## Background and rationale

**ESTABLISHED EVIDENCE**

**UAS works for the right patient.**  
STAR trial: ~75% response. FDA criteria: AHI 15–65, BMI ≤ 32, no complete concentric collapse (CCC) at the velum.

**MMA is the most efficacious skeletal operation.**  
~86% surgical success, ~43% cure at single-surgeon centers.

**Palatopharyngoplasty can convert CCC.**  
Previously CCC-ineligible patients can become UAS candidates.

**RESEARCH GAP**

**Does preparing the airway first change the UAS result?**  
No study had directly compared patients who reached UAS through the multilevel door against patients who went straight to UAS.

**Hypothesis.**  
Patients initially ineligible who undergo multilevel surgery to earn eligibility would see a greater net AHI reduction than patients who meet UAS criteria from the start.

**Why it was worth asking.**  
If true, the field stops treating UAS and skeletal surgery as competitors and starts treating them as a sequence.

Chang CP, Poomkonsarn S, Giannakopoulos H, Ma Y, Riley RW, Liu SY. J Oral Maxillofac Surg. 2023;81(5):557-565. · AAOMS Stanton Award. Stanley Y.C. Liu, MD, DDS, FACS

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**METHODS**

## Study design and cohort snapshots

*Retrospective single-center cohort, Stanford Sleep Surgery Clinic, July 2016 to February 2019. n = 35 after exclusion.*

**GROUP 1**

**Multilevel surgery → UAS**

*Initially ineligible · n = 18*

<b>Ineligibility reason</b>	AHI > 65, BMI > 32, or CCC
<b>Surgery types</b>	UPPP, DOME, MMA, GGA
<b>Soft tissue only</b>	61%
<b>Skeletal only</b>	22%
<b>Both soft tissue + skeletal</b>	17%

**GROUP 2**

**UAS only**

*FDA eligible from start · n = 17*

<b>Eligibility criteria</b>	AHI 15–65, BMI < 32
<b>DISE requirement</b>	No CCC at velum
<b>PAP status</b>	Intolerant / failed
<b>Central apneas</b>	< 25% of AHI
<b>Prior surgery</b>	None

Chang CP, Poomkonsarn S, Giannakopoulos H, Ma Y, Riley RW, Liu SY. J Oral Maxillofac Surg. 2023;81(5):557-565. · AAOMS Stanton Award. Stanley Y.C. Liu, MD, DDS, FACS

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METHODS · DEMOGRAPHICS

## Baseline demographic and clinical characteristics

VARIABLE	GROUP 1 · MULTILEVEL → UAS (n=18)	GROUP 2 · UAS ONLY (n=17)	p
Age, years	62.4 ± 9	62.9 ± 14	.90
Male, %	72%	88%	.24
BMI, kg/m <sup>2</sup>	29.1 ± 4	26.7 ± 4	.07
Mallampati IV, %	69%	50%	.70
Skeletal Class II, %	50%	43%	.80
Baseline AHI, events/h	49.3 ± 30	33.4 ± 14	.076
Baseline ESS	7.1 ± 4	9.0 ± 6	.40
Baseline FSS	30.0 ± 14	32.9 ± 17	.65

**No significant demographic differences between groups at baseline.**

Chang CP, Poomkansarn S, Giannakopoulos H, Ma Y, Riley RW, Liu SY. J Oral Maxillofac Surg. 2023;81(5):557-565. · AAOMS Stanton Award. Stanley Y.C. Liu, MD, DDS, FACS

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METHODS

## Multilevel surgical profile in Group 1



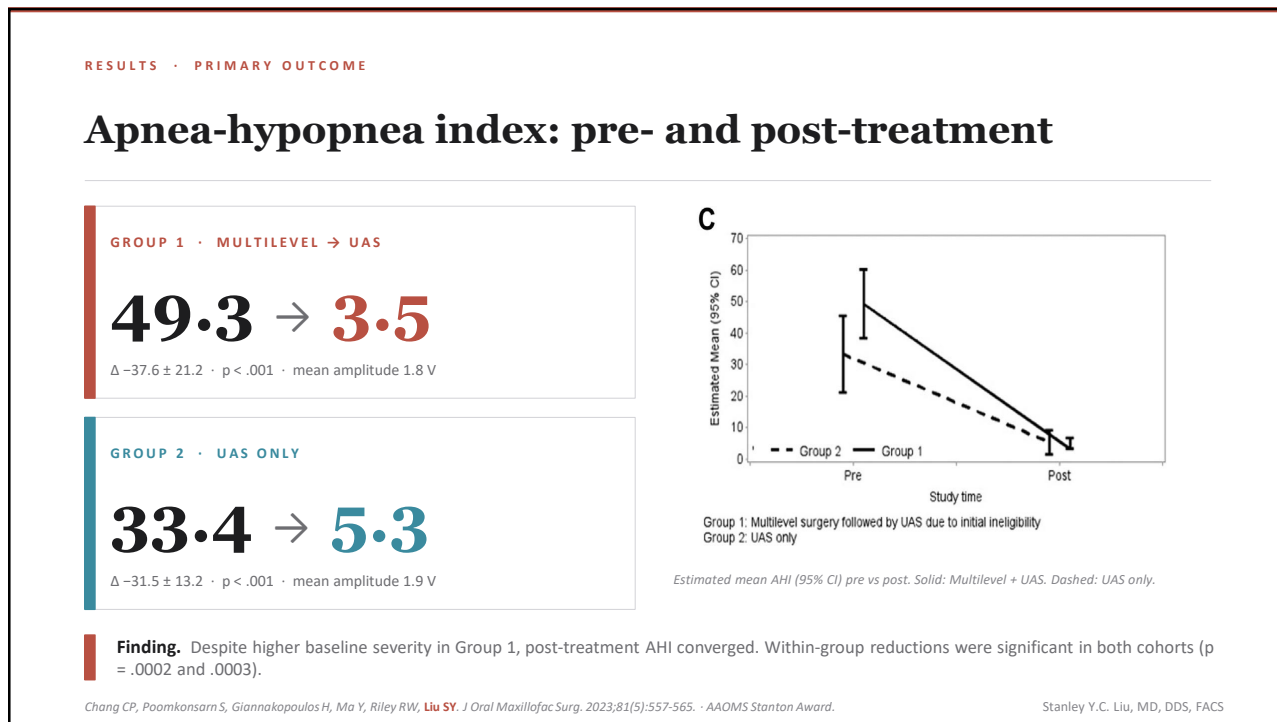
**PRIOR PROCEDURES IN GROUP 1 (n = 18)**

<b>Soft tissue only</b> UPPP, tonsillectomy, septoplasty	<b>61%</b>
<b>Skeletal only</b> MMA, DOME, maxillary advancement, GGA	<b>22%</b>
<b>Both</b> Combined soft tissue + skeletal	<b>17%</b>

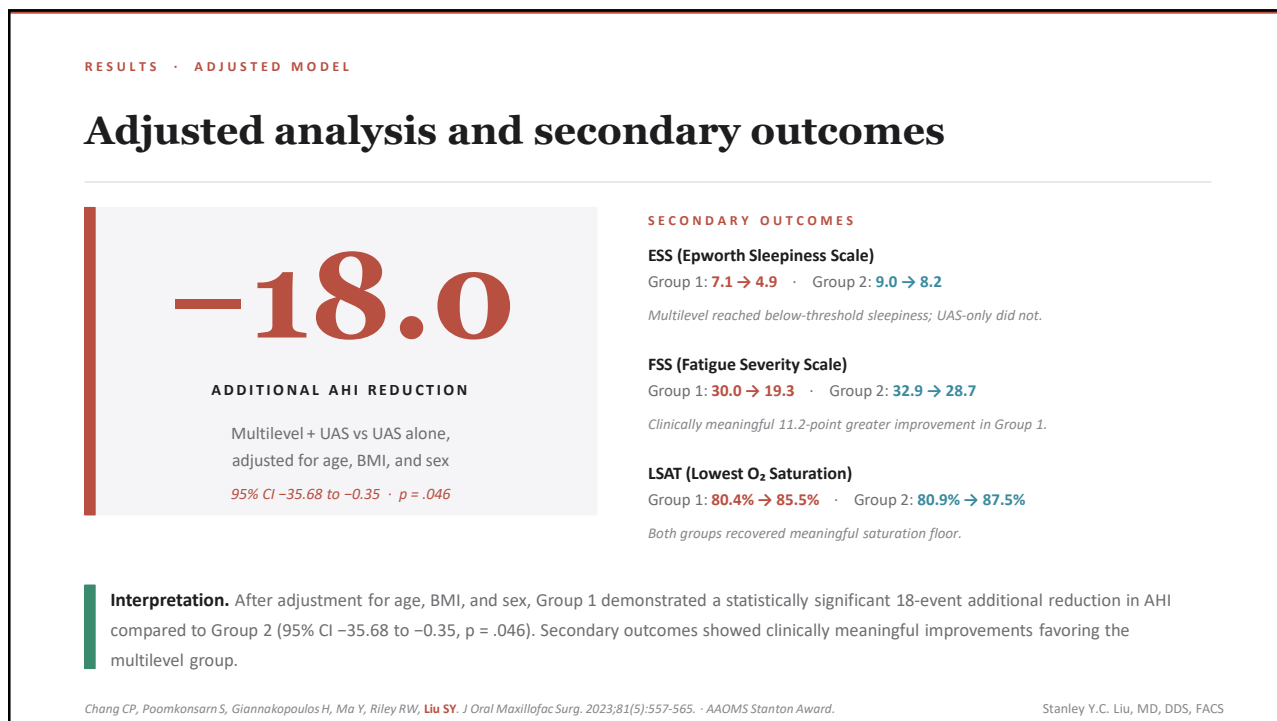
**Baseline severity.**  
Group 1 baseline AHI averaged 49 events/h, with several patients exceeding 100 and demonstrating CCC on DISE. Multilevel surgery was the pathway to eligibility for UAS implantation.

Chang CP, Poomkansarn S, Giannakopoulos H, Ma Y, Riley RW, Liu SY. J Oral Maxillofac Surg. 2023;81(5):557-565. · AAOMS Stanton Award. Stanley Y.C. Liu, MD, DDS, FACS

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**CONCLUSIONS**

## Clinical implications and recognition


**UAS ELIGIBILITY CAN BE EARNED**  
Patients initially ineligible due to  $AHI > 65$ ,  $BMI > 32$ , or CCC on DISE can be converted to candidacy through staged multilevel surgery, including palatopharyngoplasty, DOME, and MMA.

**MULTILEVEL + UAS IS SUPERIOR**  
Adjusted for demographics, Group 1 achieved an 18-event additional AHI reduction compared to Group 2 ( $p < .001$ ). Both groups reached near-cure AHI levels post-treatment.

**ALGORITHMIC ROLE OF UAS**  
Within the updated Stanford protocol, UAS serves patients who decline skeletal surgery, relapse after MMA, or require combined approaches for severe disease burden.



**AAOMS STANTON AWARD**  
JOMS paper of the year, 2024



Scan for article + podcast

Chang CP, Poomkomsarn S, Giannakopoulos H, Ma Y, Riley RW, Liu SY. J Oral Maxillofac Surg. 2023;81(5):557-565. · AAOMS Stanton Award.

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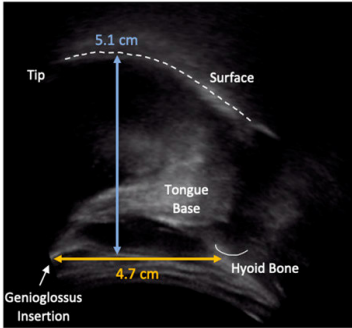
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**PHYSIOLOGY OF HYPOGLOSSAL STIMULATION**

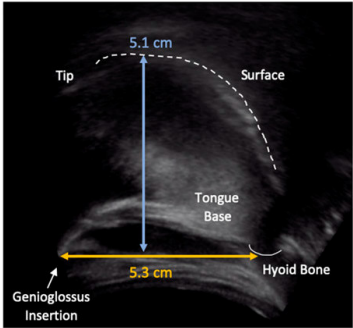
## Tongue Morphologic Response to HNS

*Midsagittal ultrasound in 12 patients with multi-contact stimulation (Aura6000)*

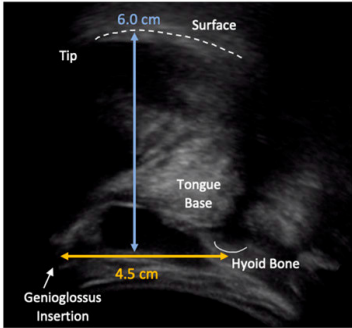
**Opening Response**



**Baseline**



**Closing Response**



**KEY FINDING** *Selective HNS produced two distinct responses. Similar degrees of tongue protrusion; opposite airway outcomes.*

Fleury Curado T, ..., Liu SY, ..., Schwartz A. J Clin Sleep Med 2023;19(5):947-955.

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PHYSIOLOGY OF HYPOGLOSSAL STIMULATION (CONT.)

## Tongue Height and Pharyngeal Patency

Hyoid-genioglossus distance versus tongue height during stimulation

**MEASUREMENTS ON STIMULATION**

	OPENING	CLOSING
n	8	7
Hyoid-GG $\Delta$	-1.3 cm	-1.1 cm
Tongue height $\Delta$	no change	+0.6 cm*

\*  $p < 0.001$  between groups

**INTERPRETATION**  
Equal tongue protrusion, different outcomes. Unopposed protrusor activation raises tongue height and paradoxically reduces airflow.

**MECHANISM** Airway patency is best maintained when protrusion preserves tongue shape, requiring coactivation of intrinsic and retractor lingual muscles.

Fleury Curado T, ..., Liu SY, ..., Schwartz A. *J Clin Sleep Med* 2023;19(5):947-955. Stanley Y.C. Liu, MD, DDS, FACS

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## Breathing: Route and State

THE 2x2 BREATHING MATRIX

NASAL	AWAKE
MOUTH	ASLEEP

*sleep-disordered breathing*

**Physiologic breathing is nasal.  
The problem begins when the mouth opens during sleep.**

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## Nasal vs Oral Breathing During Sleep

UAR AND OSA SEVERITY ARE SIGNIFICANTLY LOWER WITH NASAL BREATHING

### Upper Airway Resistance

#:  $p = 0.012$

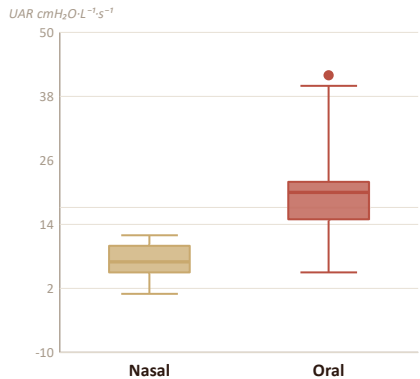


Fig. 3: Effect of breathing route on UAR during supine stage 2 sleep.

### AHI by Breathing Route

\*\* $: p < 0.01$

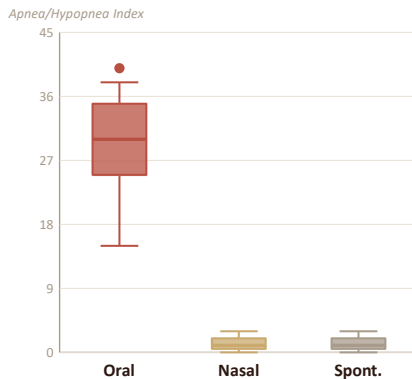


Fig. 5: Effect of breathing route on sleep apnea severity in lateral position.



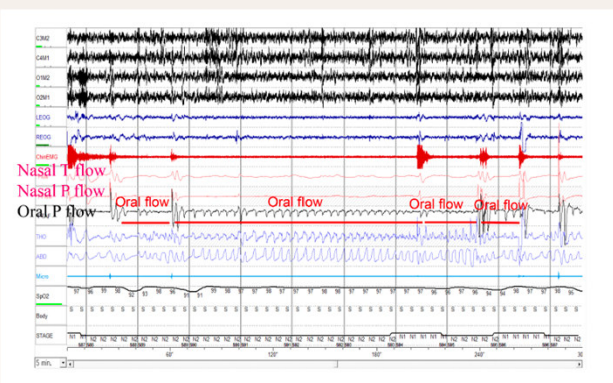
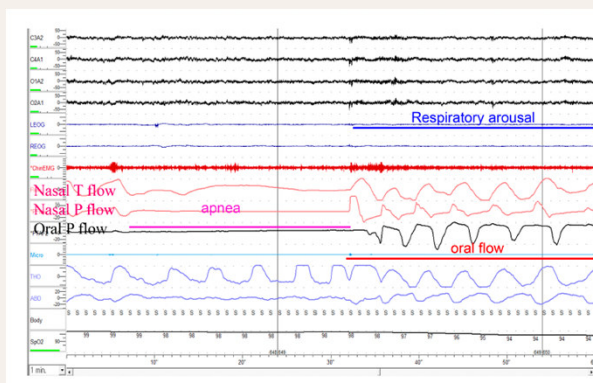
Fitzpatrick MF, McLean H, Urton AM, Tan A, O'Donnell D, Driver HS. Effect of nasal or oral breathing route on upper airway resistance during sleep. *Eur Respir J.* 2003 Nov;22(5):827-32.

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## Oral Flow Patterns & Nasal Obstruction

RELATIONSHIP WITH RESPIRATORY EVENTS DURING SLEEP



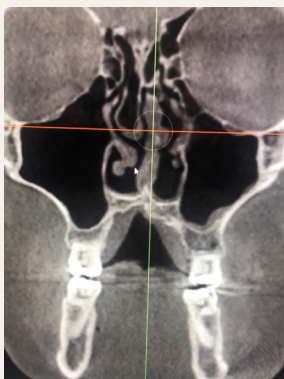
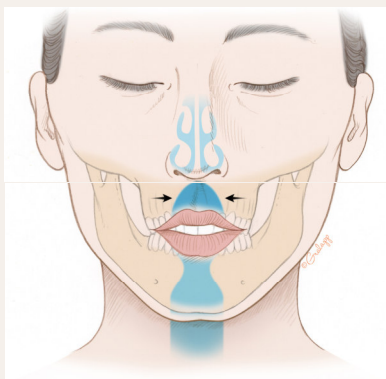
Suzuki M, Furukawa T, Sugimoto A, et al. Relationship between Oral Flow Patterns, Nasal Obstruction, and Respiratory Events during Sleep. *J Clin Sleep Med.* 2015 Aug 15;11(8):855-60.

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# The Upper Airway Nasal Complex

STRUCTURAL CONTRIBUTION TO PERSISTENT NASAL OBSTRUCTION



Coronal CT — narrow maxilla & compressed nasal floor

## Narrow maxilla (Nasal Floor & Palatal Vault)

Intranasal surgery may not overcome a structurally narrow nasomaxillary complex. The Nasal Floor is part of the INV, most restricted part of the upper airway.

Williams R, ... Liu SYC. The Upper Airway Nasal Complex: Structural Contribution to Persistent Nasal Obstruction. Otolaryngol Head Neck Surg. 2019 Jul;161(1):171-177.

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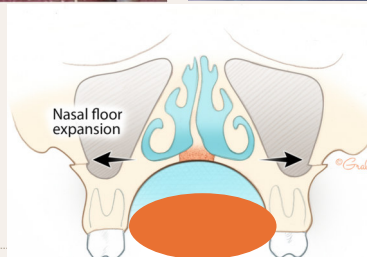
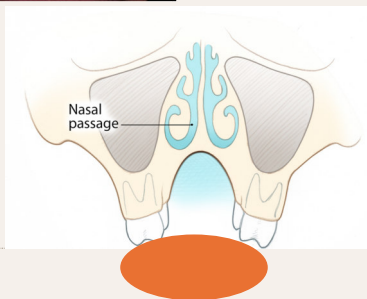
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## Arch versus Dome

ARCH



DOME



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## DOME the Concept

### WHAT IT IS

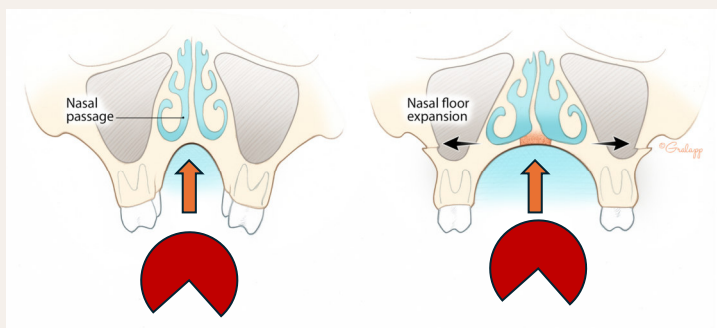
Naso-Maxillary Expansion by Distraction Osteogenesis  
Transforming a High-Arched to Dome-Shaped Palate, with  
Expansion of the Internal Nasal Valve.

### WHY

To Optimize Nasal Breathing and Pharyngeal Stability across Wakefulness  
and Sleep.

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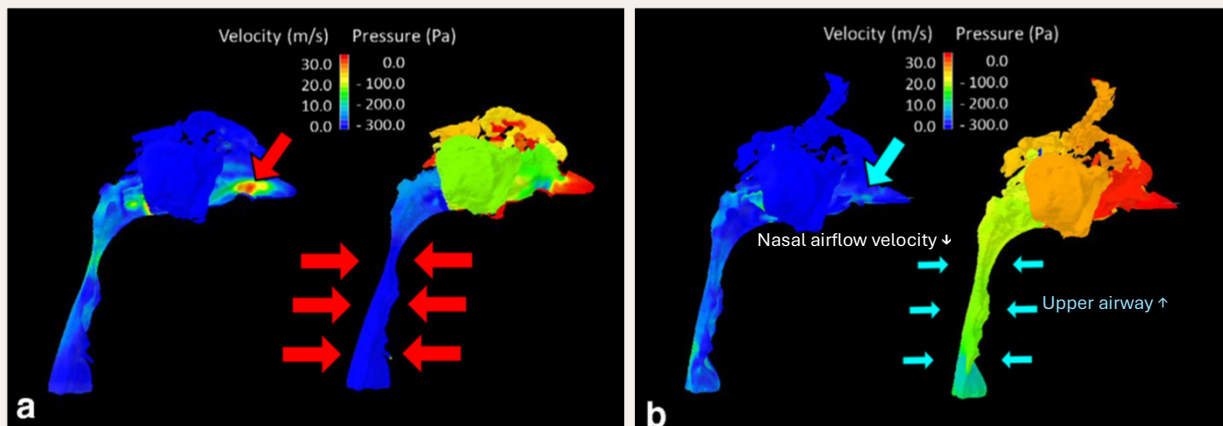
## DOME shaped maxilla and U.A. Stimulation



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### DOME: CFD with Patient-Specific Rhinometry



Iwasaki T, Yoon A, Guilleminault C, Yamasaki Y, Liu SY. How does DOME reduce severity of OSA? Sleep Breath. 2020;24(1):287-296.

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### Hypoglossal Nerve Stimulation | Nasal vs Mouth Breathing



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CLINICAL CASE · PRESENTATION

# Patient Presentation

45-year-old man with severe obstructive sleep apnea

DEMOGRAPHICS

**45**

age (years)

**30**

BMI

**19**

ESS

**70/100**

NOSE

CLINICAL HISTORY

**Vital signs** BP 143/89, HR 118, Fatigue 40/60

**Medications** Beta-blocker, Metformin, Zoloft

**Surgical history** Cholecystectomy, tonsillectomy, third molar removal

**Social** Non-smoker, no alcohol

Unpublished clinical case · Stanford Revised Sleep Surgery Algorithm · De-ID case

1 / 9

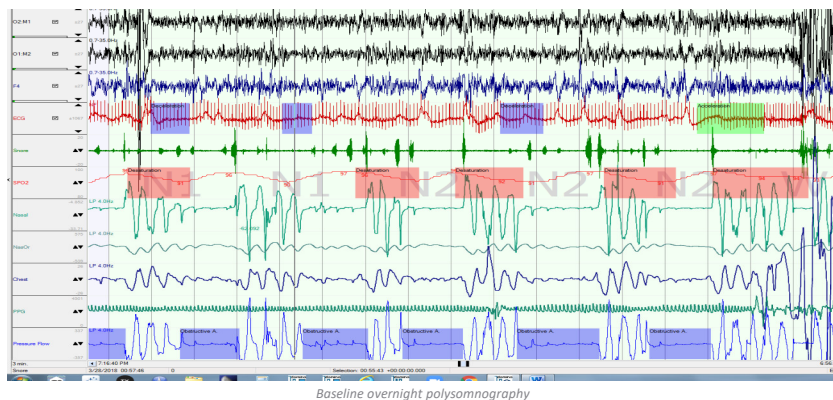
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CLINICAL CASE · BASELINE

# Baseline Polysomnography

Severe obstructive sleep apnea: frequent obstructive events with oxygen desaturation



SEVERITY

AHI

**50.8**

events / hour

Severe OSA

Unpublished clinical case · Stanford Revised Sleep Surgery Algorithm · De-ID case

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CLINICAL CASE · ORAL APPLIANCE THERAPY

## Oral Appliance Therapy Response

*Partial reduction in AHI with mandibular advancement device*



Drug-induced endoscopy with mandibular advancement

**RESPONSE**

# AHI 50.8 → 32.5

**36% reduction** · partial response

**INTERPRETATION**

Residual moderate OSA despite mandibular advancement. Mechanical repositioning alone did not fully restore airway patency.

Unpublished clinical case · Stanford Revised Sleep Surgery Algorithm · De-ID case 3 / 9 Stanley Y.C. Liu, MD, DDS, FACS


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CLINICAL CASE · PRE-HNS ASSESSMENT


## Drug-Induced Sleep Endoscopy

*Airway evaluation prior to hypoglossal nerve stimulation*

**DISE FINDINGS**



Rules out CCC of Velum



Chin CCW Rotation

**PATTERN** *Multi-level obstruction: anteroposterior velopharyngeal collapse and tongue base collapse during stimulation period.*

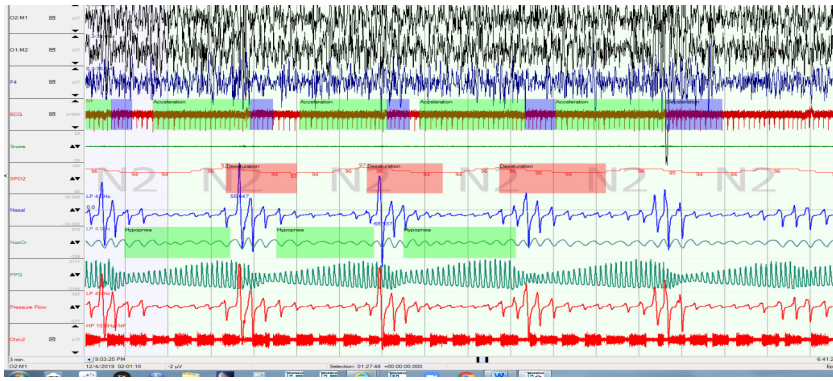
Unpublished clinical case · Stanford Revised Sleep Surgery Algorithm · De-ID case 4 / 9 Stanley Y.C. Liu, MD, DDS, FACS

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CLINICAL CASE · POST-HNS

## Polysomnography on HNS Therapy

*Persistent obstructive events despite active hypoglossal stimulation*



**HNS OUTCOME**  
AHI  
**35.1**  
events / hour

vs. baseline 50.8  
vs. OAT 32.5  
*No improvement over oral appliance*

Overnight PSG with HNS active throughout the study

Unpublished clinical case · Stanford Revised Sleep Surgery Algorithm · De-ID case 6 / 9 Stanley Y.C. Liu, MD, DDS, FACS

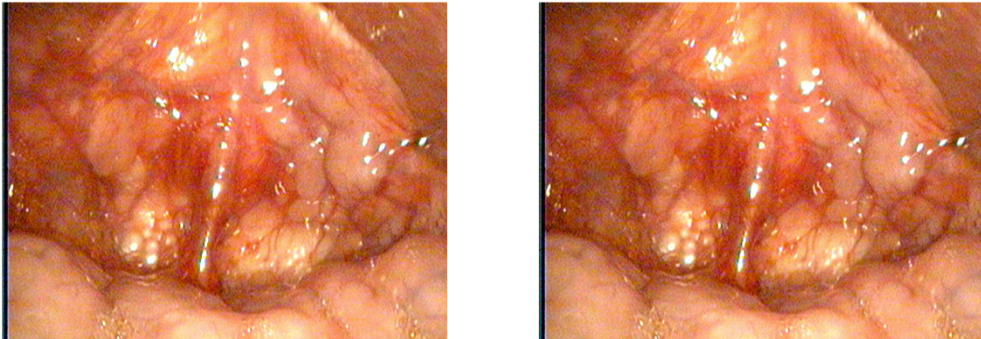
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CLINICAL CASE · POST-HNS ASSESSMENT

## Airway Closure during Activation

*Tongue shape not preserved during active stimulation*

POST-HNS DISE



*Epiglottis collapse with HNS active*

*Resolution of chin CCW rotation*

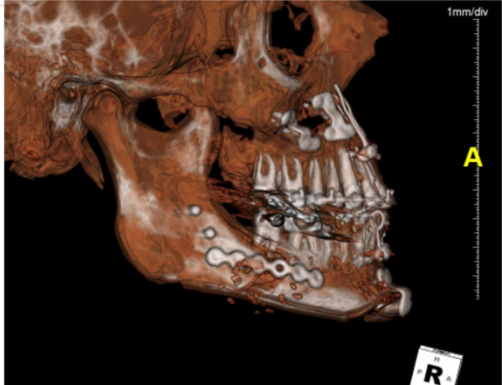
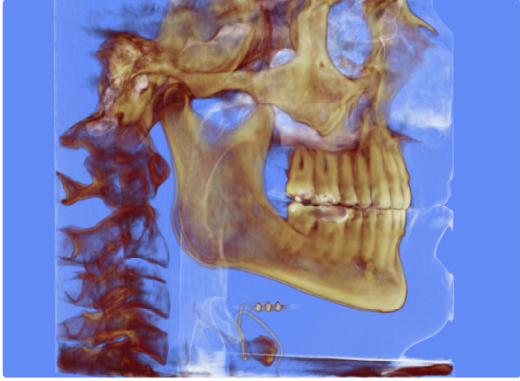
**KEY FINDING** *Epiglottis collapse during activation.*

Unpublished clinical case · Stanford Revised Sleep Surgery Algorithm · De-ID case 7 / 9 Stanley Y.C. Liu, MD, DDS, FACS

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CLINICAL CASE · MMA

## Maxillomandibular Advancement



Pre-MMA: HNS lead visible, no advancement

Post-MMA: advancement with fixation

Unpublished clinical case · Stanford Revised Sleep Surgery Algorithm · De-ID case

8 / 9

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CLINICAL CASE · OUTCOME

## Post-MMA Clinical Outcome

*Airway patency restored after skeletal advancement*

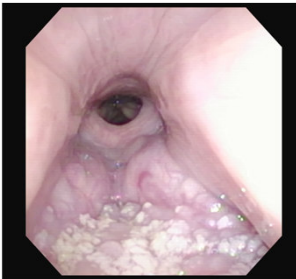
FACIAL PROFILE



Preop

Postop

DISE



Preop

Postop

CLINICAL IMPLICATION

*Tongue shape cannot be preserved without adequate oral cavity space. Skeletal assessment precedes HNS*

Unpublished clinical case · Stanford Revised Sleep Surgery Algorithm · De-ID case

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CLINICAL CASE · OUTCOME

## Post-MMA Clinical Outcome

Airway patency restored after skeletal advancement

PHOTO

Pre- and post-MMA facial profile

Post-MMA endoscopy: open airway

**RESOLUTION**

HNS  
**failed**

MMA  
**resolved**

Clinical airway patency achieved through skeletal advancement after stimulation therapy failure.

**CLINICAL IMPLICATION** Tongue shape cannot be preserved without adequate oral cavity space. Skeletal assessment precedes HNS in the Stanford Revised Sleep Surgery Algorithm.

Unpublished clinical case · Stanford Revised Sleep Surgery Algorithm · De-ID case 9 / 9 Stanley Y.C. Liu, MD, DDS, FACS

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CONCLUSIONS

## Summary and Clinical Implications

Comprehensive airway assessment for hypoglossal nerve stimulation candidacy

- 01 Comprehensive airway evaluation**

DISE identifies concentric collapse at the velum but does not fully assess the airway. Evaluation spans the nose, palate, tongue base, and skeletal framework before candidacy is finalized.
- 02 Ineligibility is often modifiable**

Preservation palatopharyngoplasty converts CCC (12/12, Liu 2020). DOME widens the nasal floor in narrow high-arched palates. CO<sub>2</sub> laser lingual tonsil reduction restores HGNS response in non-responders.
- 03 Multilevel sequencing improves outcomes**

Multilevel surgery followed by UAS yielded an 18-event adjusted AHI advantage over isolated UAS (Chang, Liu 2023, AAOMS Stanton Award). UAS and skeletal surgery are complementary, not competitive.
- 04 Skeletal framework sets the ceiling**

When tongue shape cannot be preserved within available oral cavity space, maxillomandibular advancement precedes or replaces HGNS in the Stanford Revised Sleep Surgery Algorithm.

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**REFLECT NOW**

**Inspire HGNS for treatment of OSA**

**What inspired you to reflect?**

Pick the context and a clinically relevant concept or phrase that inspired you to reflect.

Reflective Learning Moment

Inspire HGNS for treatment of OSA

Step 1 of 4 [Next](#)

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